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Award Number: W81XWH-08-1-0444

TITLE: MicroRNAs in Tamoxifen Resistance of Breast Cancer

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REPORT DATE: August 2009

TYPE OF REPORT: Annual

PREPARED FOR: U.S. Army Medical Research and Materiel Command  
Fort Detrick, Maryland 21702-5012

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<b>REPORT DOCUMENTATION PAGE</b>			<i>Form Approved</i> <b>OMB No. 0704-0188</b>	
Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503				
<b>1. Agency Use Only (Leave blank)</b>		<b>2. Report Date</b> 05/01/2009		<b>3. Report Type and Period Covered (i.e., annual)</b> 15 July 2008- 14 July 2009
<b>4. Title and Subtitle</b> MicroRNAs in Tamoxifen Resistance of Breast Cancer			<b>5. Award Number</b> W81XWH-08-1-0444	
<b>6. Author(s)</b> Jianjun Zhao; Email: jianjunzhao@yahoo.com				
<b>7. Performing Organization Name (Include Name, City, State, Zip and RKN)</b> Lee Moffitt Cancer Center Tampa, FL 33612			<b>8. Performing Organization Report Number (Leave Blank)</b>	
<b>9. Sponsoring/Monitoring Agency Name and Address</b> U.S. Army Medical Research and Materiel Command Fort Detrick, Maryland 21702-5012			<b>10. Sponsoring/Monitoring Agency Report Number (Leave Blank)</b>	
<b>11. Supplementary Notes (i.e., report contains color photos, report contains appendix in non-print form, etc.)</b>				
<b>12a. Distribution/Availability Statement (check one)</b> * Approved for public release; distribution unlimited <input type="checkbox"/>			<b>12b. Distribution Code</b> (Leave Blank)	
<b>13. Abstract (Maximum 200 Words) (abstract should contain no proprietary or confidential information)</b>  During last year, I have identified upregulation of a panel of miRNAs in ER $\alpha$ negative breast cancer cells, which include miR-221/222, -29a-c, -99a,b, -10a, -100, -146 and -125a,b. Further, I demonstrated that miR-221 and miR-222 directly interact with the 3'-untranslated region of ER $\alpha$ . Ectopic expression of miR-221 and miR-222 in MCF-7 and T47D cells resulted in a decrease in expression of ER $\alpha$ protein but not mRNA, whereas knockdown of miR-221 and miR-222 partially restored ER $\alpha$ in ER $\alpha$ protein-negative/mRNA-positive cells. Notably, miR-221- and/or miR-222-transfected MCF-7 and T47D cells became resistant to tamoxifen. Furthermore, knockdown of miR-221 and/or miR-222 sensitized MDA-MB-468 cells to tamoxifen-induced cell growth arrest and apoptosis. These findings indicate that miR-221 and miR-222 play a significant role in the regulation of ER $\alpha$ expression and could be potential targets for restoring ER $\alpha$ expression and tamoxifen sensitivity.				
<b>14. Subject Terms (keywords previously assigned to proposal abstract or terms which apply to this award)</b>  microRNA, ER $\alpha$ , Tamoxifen, breast cancer, TGF $\beta$ , metastasis			<b>15. Number of Pages</b> 6	
			<b>16. Price Code</b>	
<b>17. Security Classification of Report</b> Unclassified	<b>18. Security Classification of this Page</b> Unclassified	<b>19. Security Classification of Abstract</b> Unclassified	<b>20. Limitation of Abstract</b>	

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## Introduction

Three tasks have been proposed in this project: 1) Examine the expression of the miRNAs identified from the microarray profiling in human primary ER $\alpha$ -positive-tamoxifen-responsive vs. ER $\alpha$ -positive TMR and ER $\alpha$ -negative TMR breast cancers as well as intrinsic ER $\alpha$ -negative tumors; 2) Verify predictive targets of the miRNAs described above and 3) Restore tamoxifen sensitivity in TMR cells by knockdown or expression of the deregulated miRNAs in breast cancer cells.

## Body

*Task 1. Examine the expression of the miRNAs identified from the microarray profiling in human primary ER $\alpha$ -positive-tamoxifen-responsive vs. ER $\alpha$ -positive TMR and. ER $\alpha$ -negative TMR breast cancers as well as intrinsic ER $\alpha$ -negative tumors by quantitative stem-loop polymerase chain reaction (qRT-PCR) and LNA-in situ hybridization.*

To identify the miRNAs that contribute to regulation of ER $\alpha$  expression in breast cancer, we performed miRNA profiling in ER $\alpha$ -positive *versus* ER $\alpha$ -negative breast cancer cell lines (Fig.1) as well as primary tumors(Fig.2 A). RNAs isolated from a total of five cell lines and 10 primary tumors were hybridized to a custom miRNA microarray platform containing 515 miRNAs.

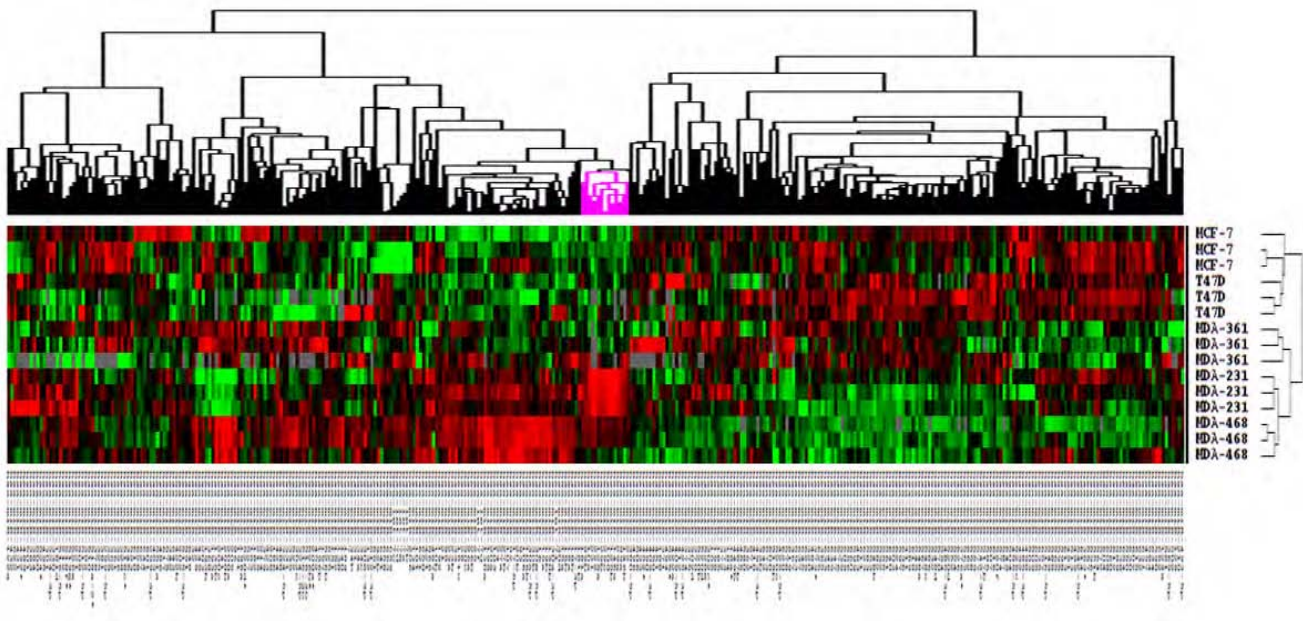


Fig.1. MiRNA expression profiling of ER $\alpha$ -negative vs. positive breast cancer cell lines.

miRNA	ER $\alpha$ (-)	ER $\alpha$ (+)	Fold of change	P value
downregulated in ER $\alpha$ (-) breast cancer cells				
hsa-miR-409-5p	0.40	0.06	-6.80	0.09
hsa-miR-548c	0.32	0.09	-3.74	0.06
hsa-miR-148b	0.73	0.20	-3.60	0.01
hsa-miR-148a	0.44	0.17	-2.61	0.05

hsa-miR-369-5p	0.17	0.07	-2.54	0.05
hsa-miR-7	0.30	0.13	-2.32	0.01
hsa-miR-548a	0.27	0.12	-2.30	0.05
hsa-miR-189	0.43	0.19	-2.28	0.04
hsa-miR-33b	0.16	0.07	-2.25	0.02
hsa-miR-616	0.45	0.20	-2.24	0.002

**upregulated in ER $\alpha$  (-) breast cancer cells**

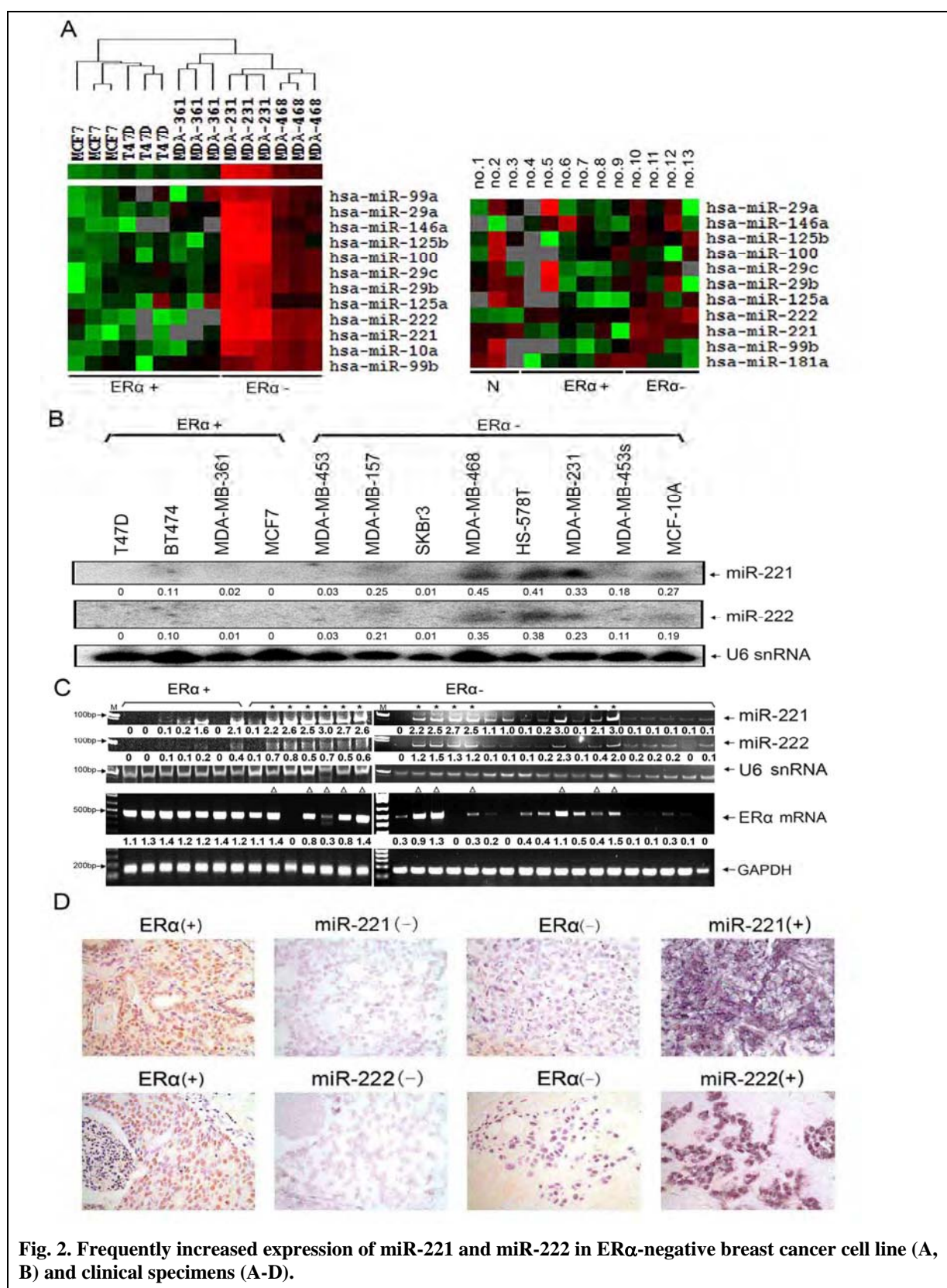
hsa-miR-222	0.01	0.86	86.05	0.002
hsa-miR-221	0.02	0.66	32.33	0.001
hsa-miR-146a	0.03	0.47	14.01	0.01
hsa-miR-130a	0.10	0.57	5.93	0.007
hsa-miR-125b	0.12	0.69	5.67	0.02
hsa-miR-100	0.14	0.66	4.79	0.02
hsa-miR-145	0.02	0.10	4.64	0.16
hsa-miR-29b	0.57	1.86	3.29	0.04
hsa-miR-19b	0.43	1.36	3.16	0.002
hsa-miR-29a	0.51	1.60	3.15	0.04
hsa-miR-29c	0.43	1.32	3.08	0.04

**Table.1. Deregulated miRNAs in ER $\alpha$ (-) cells.**

After three times of hybridization, quantification, and normalization, a dozen miRNAs, including miR-221/222, -29a-c, -99a,b, -10a, -100, -146a and -125a,b, were elevated in the ER $\alpha$ -negative cell lines and primary tumors compared with ER $\alpha$ -positive breast cancers and hsa-miR-409-5p, hsa-miR-548c, hsa-miR-148b, hsa-miR-148a, hsa-miR-369-5p, hsa-miR-7, hsa-miR-548a, hsa-miR-189, hsa-miR-33b, hsa-miR-616 were found downregulated in ER $\alpha$ -negative cell lines (Table. 1).

Consistent with the miRNA microarray data, Northern blot analysis revealed the expression of miR-221 and miR-222 in five of eight ER $\alpha$ -negative cell lines examined, with higher levels in MDA-MB-468, Hs578T, and MDA-MB-231 cells(Fig.2B). Notably, all four ER $\alpha$ -positive breast cancer lines had very low levels of miR-221 and miR-222. Furthermore, RT-PCR, immunostaining, and miRNA *in situ* hybridization analyses revealed overexpression of miR-221 and miR-222 in 13 of 25 (52%) ER $\alpha$ -negative primary tumors, 11 of which had ER $\alpha$  mRNA expression(Fig. 2C and D). In contrast, of 16 ER $\alpha$ -positive tumors examined, only four expressed moderate levels of miR-221 and miR-222, suggesting that miR-221 and miR-222 could regulate ER $\alpha$  expression.

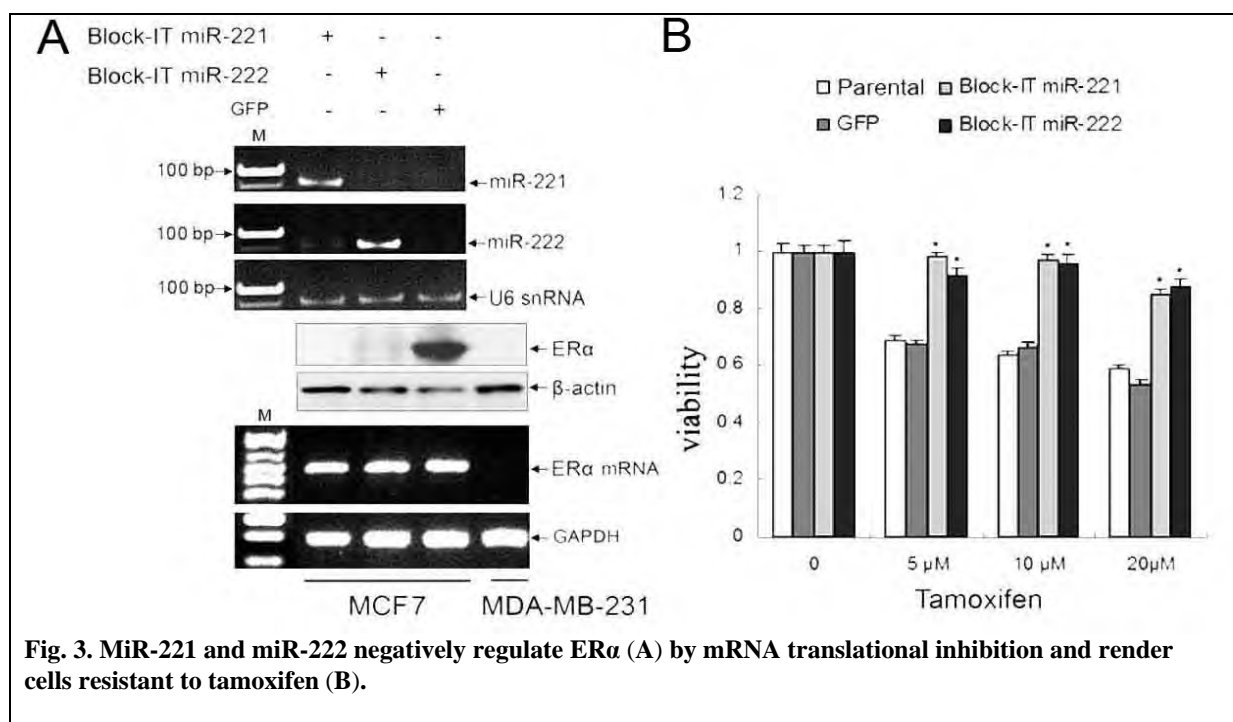
*Task 2. Verify predictive targets of the miRNAs described above by knockdown (2'-O-methyl oligoribonucleotide) and overexpression (pcDNA 6.2-GW/EmGFP-miR) of miRNA.*



**Fig. 2.** Frequently increased expression of miR-221 and miR-222 in ER $\alpha$ -negative breast cancer cell line (A, B) and clinical specimens (A-D).



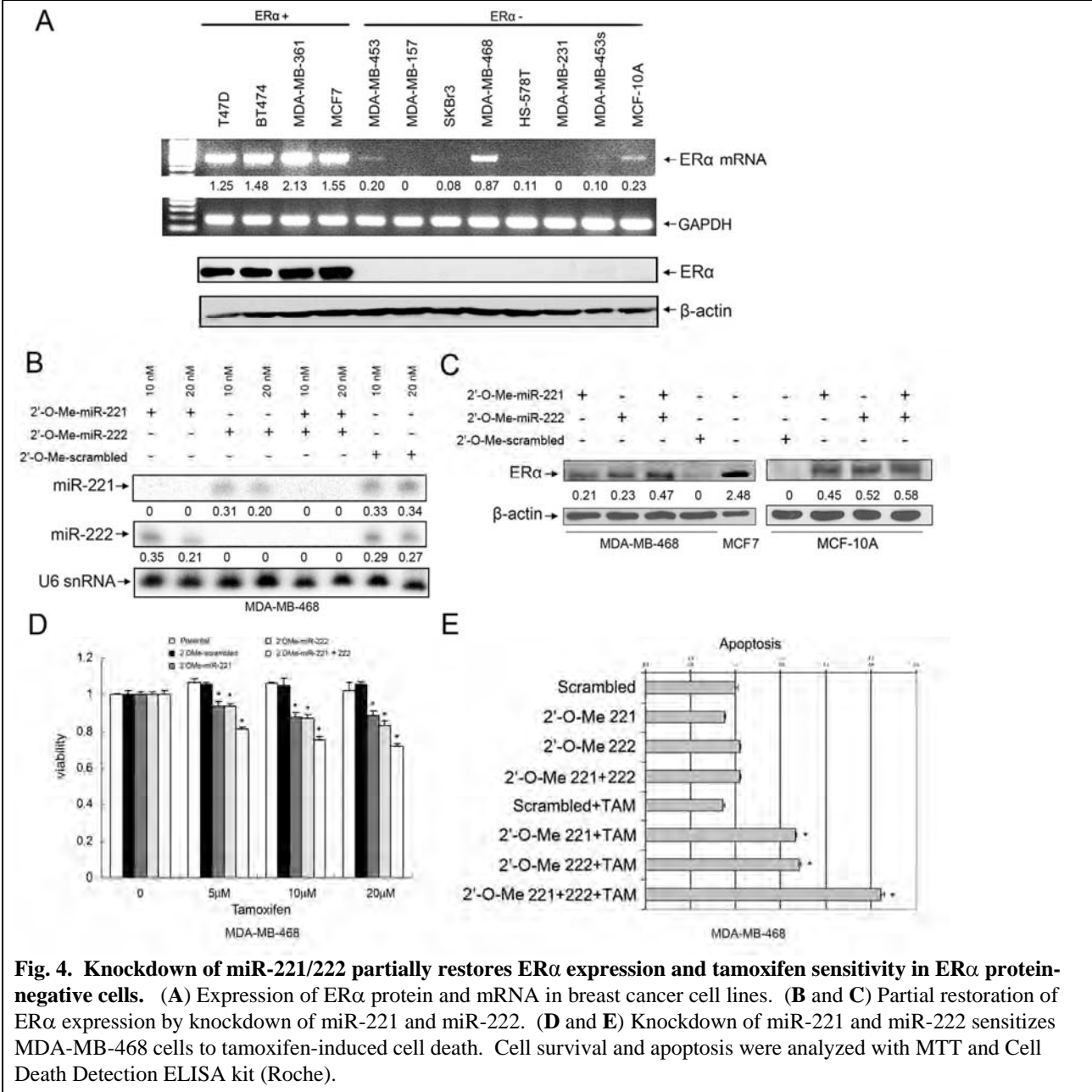
To determine if miR-221 and miR-222 regulate ER $\alpha$  and according to the expression status of miRNA, ER $\alpha$ , we select different cell lines to carry out the target verification. Ectopic expression of miR-221 and miR-222 in ER $\alpha$ -positive MCF7 cells to reduced ER protein levels whereas knockdown of miR-221 and miR-222 in ER $\alpha$ -negative MDA-MB-468 cells to restore ER $\alpha$  expression were done. In the MCF7 cells, ectopic expression experiment, we found that ER $\alpha$  protein but not mRNA was decreased upon expression of miR-221/222 (Fig. 3.A). Since ER $\alpha$  status is crucial for response of antiestrogen therapy (1-3), we further examined if miR-221 and miR-222 involve in tamoxifen sensitivity. Stable miRNA transfection cell lines as well as control cells were plated in 24-well plate and treated with vehicle DMSO or tamoxifen at the concentration range from 5 $\mu$ M to 20  $\mu$ M for 0, 1, 3 and 5 days. Cell survival, apoptosis and cell cycle will be examined by MTT assay. MTT assay showed that forced expression of miR-221 and/or miR-222 could render cells resistant to tamoxifen(Fig. 3.B) at 5 days.



**Task 3.** Restore tamoxifen sensitivity in TMR cells by knockdown or expression of the deregulated miRNAs in breast cancer cells.

Since miR-221/222 only blocks ER $\alpha$  translation, MDA-MB-468 [ER $\alpha$  mRNA(+) protein(-)] not MDA-MB-231[ER $\alpha$  mRNA(-) protein(-)](Fig. 4.A) was reasonable to be selected for miR-221/222 knockdown experiment. The MDA-MB-468 cells were transfected with 2'-O-Me-anti-miR-221 and/or -miR-222 as well as control 2'-O-Me oligonucleotides. After 72 h incubation, expression levels of miR-221 and miR-222 were largely reduced in the cells treated with 2'-O-Me-anti-miR-221 and/or -miR-222 (Fig. 4B). Immunoblotting analysis showed that ER $\alpha$  protein was partially restored in miR-221 or/and miR-222 knockdown cells but not control 2'-O-Me-treated cells (Fig. 4C). However, there was no significant difference between individual knockdown of miR-221 and miR-222 and their combination (Fig. 4C). Similar results were obtained in MCF10A cell line. Restoration of ER $\alpha$  could

not be achieved by knockdown of miR-221 and/or miR-222 in ER $\alpha$  mRNA-negative cell lines such as MDA-MB-231 and SKBr3 (data not shown). These findings further support the notion that ER $\alpha$  is a direct target of miR-221 and miR-222 at translation level. We next examined whether the miR-221- and/or miR-222-knocked down MDA-MB-468 cells become sensitive to tamoxifen-induced cell growth arrest and cell death. As shown in Fig. 4D and 4E, knockdown of miR-221 or miR-222 reduced cells resistance to tamoxifen-induced cell growth arrest and apoptosis. Cells with knockdown of both miR-221 and miR-222 became more vulnerable to tamoxifen-inhibited cell growth (Fig. 4D) and -induced apoptosis (Fig. 4E) compared to the cells with knockdown either one alone. So Knockdown of miR-221 and/or miR-222 sensitized MDA-MB-468 cells to tamoxifen-induced cell growth arrest and apoptosis.





These findings indicate that miR-221 and miR-222 play a significant role in the regulation of ER $\alpha$  expression and could be potential targets for restoring ER $\alpha$  expression and responding to antiestrogen therapy in a subset of breast cancers (4).

### **Key Research Accomplishment**

- 1 Identification of microRNA expression signature in ER $\alpha$ -negative tumors.
- 2 Several miRNAs regulate ER $\alpha$  expression.
- 3 miR-221 and miR-222 inhibit ER $\alpha$  expression and induce tamoxifen resistance.

### **Reportable Outcomes**

#### **Publication:**

1. Zhao JJ, Lin J, Yang H, Kong W, He L, Ma X, Coppola D, Cheng JQ. MicroRNA-221/222 negatively regulates ER $\alpha$  and associates with tamoxifen resistance in breast cancer. J Biol Chem. 283:31079-86, 2008.
2. Kong W, Yang H, He L, Zhao JJ, Coppola D, Dalton WS, Cheng JQ. MicroRNA-155 Is Regulated by TGF $\beta$ /Smad Pathway and Contributes to Epithelial Cell Plasticity by Targeting RhoA. Mol. Cell. Biol. 28:6773-84, 2008.
3. Yang H, Kong W, He L, Zhao JJ, O'Donnell JD, Wang J, Wenham RM, Coppola D, Kruk PA, Nicosia SV, Cheng JQ. MicroRNA expression profiling in human ovarian cancer: miR-214 induces cell survival and cisplatin resistance by targeting PTEN. Cancer Res. 68:425-33, 2008.
4. Zhao JJ, Yang J, Lin J, Yao N, Zhu Y, Zheng J, Xu J, Cheng JQ, Lin JY, Ma X. Identification of miRNAs associated with tumorigenesis of retinoblastoma by miRNA microarray analysis. Childs Nerv Syst. 25:13-20, 2009.
5. Kong W, Zhao JJ, He L, Cheng JQ. Strategies for profiling MicroRNA expression. J Cell Physiol. 218:22-5, 2009.

#### **Abstract/presentation**

1. Zhao JJ, Lin J, Yang H, Kong W, Coppola D, Cheng JQ, MicroRNA-221/222 negatively regulates ER $\alpha$  and associates with tamoxifen resistance in breast cancer. DOD Breast Cancer Meeting, 2008.

### **Conclusion**

1. Deregulation of microRNA is associated with ER $\alpha$  status and tamoxifen resistance in breast cancer.
2. miR-221 and miR-222 are targets for restoration of ER $\alpha$  expression and tamoxifen sensitivity.

## **References**

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2. Lambertini E, Penolazzi L, Giordano S, Del Senno L, Piva R. Expression of the human oestrogen receptor-alpha gene is regulated by promoter F in MG-63 osteoblastic cells. *Biochem J*. 372(Pt 3):831-9, 2003.
3. Ring A, Dowsett M. Mechanisms of tamoxifen resistance. *Endocr Relat Cancer*. 11:643-58, 2004.
4. Zhao JJ, Lin J, Yang H, Kong W, He L, Ma X, Coppola D, Cheng JQ. MicroRNA-221/222 negatively regulates ER $\alpha$  and associates with tamoxifen resistance in breast cancer. *J Biol Chem*. 283:31079-86, 2008.

## **Appendix**

1. Zhao JJ, Lin J, Yang H, Kong W, He L, Ma X, Coppola D, Cheng JQ. MicroRNA-221/222 negatively regulates ER $\alpha$  and associates with tamoxifen resistance in breast cancer. *J Biol Chem*. 283:31079-86, 2008.
2. Kong W, Yang H, He L, Zhao JJ, Coppola D, Dalton WS, Cheng JQ. McroRNA-155 Is Regulated by TGF $\beta$ /Smad Pathway and Contributes to Epithelial Cell Plasticity by Targeting RhoA. *Mol. Cell. Biol*. 28:6773-84, 2008.

# MicroRNA-221/222 Negatively Regulates Estrogen Receptor $\alpha$ and Is Associated with Tamoxifen Resistance in Breast Cancer\*

Received for publication, August 5, 2008, and in revised form, September 10, 2008. Published, JBC Papers in Press, September 12, 2008, DOI 10.1074/jbc.M806041200

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A search for regulators of estrogen receptor  $\alpha$  (ER $\alpha$ ) expression has yielded a set of microRNAs (miRNAs) for which expression is specifically elevated in ER $\alpha$ -negative breast cancer. Here we show distinct expression of a panel of miRNAs between ER $\alpha$ -positive and ER $\alpha$ -negative breast cancer cell lines and primary tumors. Of the elevated miRNAs in ER $\alpha$ -negative cells, miR-221 and miR-222 directly interact with the 3'-untranslated region of ER $\alpha$ . Ectopic expression of miR-221 and miR-222 in MCF-7 and T47D cells resulted in a decrease in expression of ER $\alpha$  protein but not mRNA, whereas knockdown of miR-221 and miR-222 partially restored ER $\alpha$  in ER $\alpha$  protein-negative/mRNA-positive cells. Notably, miR-221- and/or miR-222-transfected MCF-7 and T47D cells became resistant to tamoxifen compared with vector-treated cells. Furthermore, knockdown of miR-221 and/or miR-222 sensitized MDA-MB-468 cells to tamoxifen-induced cell growth arrest and apoptosis. These findings indicate that miR-221 and miR-222 play a significant role in the regulation of ER $\alpha$  expression at the protein level and could be potential targets for restoring ER $\alpha$  expression and responding to antiestrogen therapy in a subset of breast cancers.

Estrogen receptor  $\alpha$  (ER $\alpha$ )<sup>3</sup> is an important marker for prognosis and is predictive of response to endocrine therapy in patients with breast cancer. Although the majority of primary breast cancers are ER $\alpha$ -positive and respond to antiestrogen therapy, up to one-third of patients with breast cancer lack ER $\alpha$  at the time of diagnosis, and a fraction of breast cancers that are initially ER $\alpha$ -positive lose ER $\alpha$  expression during tumor progression (1). These patients fail to respond to antiestrogen therapy and have a poor prognosis. Previous studies have shown that ER $\alpha$  absence is a result of hypermethylation of CpG islands

in the 5'-regulatory regions of ER $\alpha$  in a fraction of breast cancers (1). However, the molecular mechanism of the rest of the ER $\alpha$ -negative cases and the molecule(s) involving ER $\alpha$  hypermethylation remain largely unknown (1).

MicroRNAs (miRNAs) are a new class of small (~22 nucleotide) noncoding RNAs and negatively regulate protein-coding gene expression by targeting mRNA degradation or translation inhibition (2–5). Frequent deregulation of miRNAs has been detected in breast cancer, and some are associated with breast cancer metastasis and poor prognosis, suggesting an important role of miRNAs in breast oncogenesis and cancer progression (6–9). In this study, we performed miRNA profiling in ER $\alpha$ -negative *versus* ER $\alpha$ -positive human breast cancer cell lines and primary tumors and identified the deregulation of a panel of miRNAs in ER $\alpha$ -negative breast cancer. Of the elevated miRNAs, miR-221 and miR-222 were found to directly regulate ER $\alpha$  expression by interaction with the 3'-untranslated region (3'-UTR) of ER $\alpha$ . Ectopic expression of miR-221 and/or miR-222 reduced ER $\alpha$  levels in MCF-7 and T47D cells, whereas knockdown of miR-221 and/or miR-222 restored ER $\alpha$  expression and tamoxifen sensitivity in MDA-MB-468 cells. These results indicate that miR-221 and miR-222 could play a pivotal role in the regulation of ER $\alpha$  expression in a subset of breast cancers.

## EXPERIMENTAL PROCEDURES

**Cell Lines, Transfection, and Human Tumor Tissues**—Human breast cancer cell lines (T47D, BT474, MDA-MB-361, MCF-7, MDA-MB-453, MDA-MB-157, SKBr3, MDA-MB-468, Hs578T, MDA-MB-231, and MDA-MB-435s) and spontaneously immortalized human breast epithelial cells (MCF-10A) were obtained from American Type Culture Collection. Breast cancer cell lines were grown in either RPMI 1640 medium (Sigma) or Dulbecco's modified Eagle's medium (Sigma) supplemented with 10% fetal bovine serum. MCF-10A cells were cultured in mammary epithelium basal medium plus mammary epithelium growth medium (Clonetics). Transfection of 2'-O-Me-antamiR oligonucleotides or pcDNA6.2-GW/EmGFP-miR (BLOCK-iT) plasmids was performed using Lipofectamine 2000 (Invitrogen) following the manufacturer's instructions. Stable cell lines were obtained by blasticidin selection. The sequences of 2'-O-Me-anta-miR-221 and 2'-O-Me-anta-miR-222 are 5'-GAAACCCAGCAGACAAUGUAGCU-3' and 5'-ACCCAGUAGCCAGAUGUAGCU-3'. Scrambled 2'-O-Me-

\* This work was supported, in whole or in part, by National Institutes of Health Grants CA77935 and CA107078. This work was also supported by United States Department of Defense Grant DAMD17-02-1-0671 and Bankhead-Coley Grant 07BB-01. The costs of publication of this article were defrayed in part by the payment of page charges. This article must therefore be hereby marked "advertisement" in accordance with 18 U.S.C. Section 1734 solely to indicate this fact.

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<sup>3</sup> The abbreviations used are: ER $\alpha$ , estrogen receptor  $\alpha$ ; miRNA, microRNA; 3'-UTR, 3'-untranslated region; RT, reverse transcription; GFP, green fluorescent protein.

modified RNA (5'-AAGGCAAGCUGACCCUGAAGU-3') was used as a negative control. Frozen and formalin-fixed paraffin-embedded human primary breast cancer and normal breast tissues were obtained from the Tissue Procurement Facility at the H. Lee Moffitt Cancer Center.

**Plasmids**—Expression plasmids of hsa-miR-221 and hsa-miR-222 were created by annealing self-complementary oligonucleotides encompassing the sequences of miR-221 and miR-222 and cloning into the pcDNA6.2-GW/EmGFP-miR vector (BLOCK-iT Pol II miR RNAi expression vector, Invitrogen). The oligonucleotides used were as follows: hsa-miR-221, 5'-TGCTGAGCTACATTGTCTGCTGGGTTTCGTTTGGC-CAGTACTGACGAAACCCAGCAGACAATGTAGCT-3' (sense) and 5'-CCTGAGCTACATTGTCTGCTGGGTTTCGTCAGTCAGTGGCCAAAACGAAACCCAGCAGACAATGTAGCTC-3' (antisense); and hsa-miR-222, 5'-TGCTGAGCTACATCTGGCTACTGGGTGTTTGGCCACTGACTGACACCCAGTAGCCAGATGTAGCT-3' (sense) and 5'-CCTGAGCTACATCTGGCTACTGGGTGTCAGTCAGTGGCCAAAACACCCAGTAGCCAGATGTAGCTC-3' (antisense).

**RNA Isolation and miRNA Microarray and Northern Blot Analyses**—Total RNA was isolated from cell lines and tissue samples using TRIzol reagents (Invitrogen). miRNA microarray and Northern blot analyses were performed as described previously (10–12). Briefly, a custom miRNA microarray platform containing 515 miRNAs was hybridized with [ $\gamma$ - $^{32}$ P]ATP-labeled low molecular weight RNAs. To ensure accuracy of the hybridizations, each labeled RNA sample was hybridized with three separate membranes. Northern blot analysis was performed by separation of total RNA on 15% denaturing polyacrylamide gel and hybridized with the probes indicated in the figure legends. The probe sequences were as follows: miR-221, 5'-GAAACCCAGCAGACAATGTAGCT-3'; miR-222, 5'-ACCCAGTAGCCAGATGTAGCT-3'; and U6, 5'-CGTTC-CAATTTTAGTATATGTGCTGCCGAAGCGA-3'. The blot was quantified using ImageQuant software (GE Healthcare).

**Reverse Transcription (RT)-PCR and Western Blot Analyses**—Semiquantitative RT-PCR was performed for evaluation of ER $\alpha$  mRNA levels as described previously (13). The primers used were as follows: ER $\alpha$ , 5'-GCACCCTGAAGTCTCTGGAA-3' (sense) and 5'-TGGCTAAAGTGGTGCATGAT-3' (antisense); and glyceraldehyde-3-phosphate dehydrogenase, 5'-CATGTTCTCATGGGTGTGAACCA-3' (sense) and 5'-AGTGATGGC-ATGGAATGTGGTTCAT-3' (antisense). Expression of miRNAs was analyzed by mirVana quantitative RT-PCR detection assay (Ambion) according to the manufacturer's protocol. The PCR products were separated by electrophoresis on a 12.5% polyacrylamide gel, visualized by ethidium bromide staining, and quantified with AlphaImager software (Alpha Innotech Corp.). Western blot analysis was carried out as described previously (14). The blots were probed with anti-ER $\alpha$  (HC-20, Santa Cruz Biotechnology) and anti-actin (Cell Signaling) antibodies and quantified using NIH ImageJ software.

**miRNA Locked Nucleic Acid *In Situ* Hybridization and Immunohistochemical Staining**—miRNA locked nucleic acid *in situ* hybridization was performed and analyzed as described previously (10, 15). The probe sequences used were as follows: LNA-miR-221, 5'-digoxigenin-gaaAcCcaG-

CaGacAaTgtAgct-3'; LNA-miR-222 5'-digoxigenin-accCaG-tAgCcAgaTgtAGct-3'; and LNA-scrambled oligonucleotides, 5'-digoxigenin\*cAttAatGtcGGAcAActCaat-3'. Immunohistochemistry analysis and immunofluorescence staining were performed following our routine procedures (14, 16).

**Cell Viability and Apoptosis Assays**—Cell viability was examined by 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide assay as described previously (10). Cells were seeded in a 96-well plate. After a 24-h incubation, the cells were treated with tamoxifen (5, 10, and 20  $\mu$ M) or a dimethyl sulfoxide control for 48 h and then subjected to 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide and apoptotic detection assay using a Cell Death Detection ELISAPLUS kit (Roche Applied Science) according to the manufacturer's protocol. Each experiment was repeated three times in triplicate. The results are expressed as the enrichment factor relative to the untreated controls.

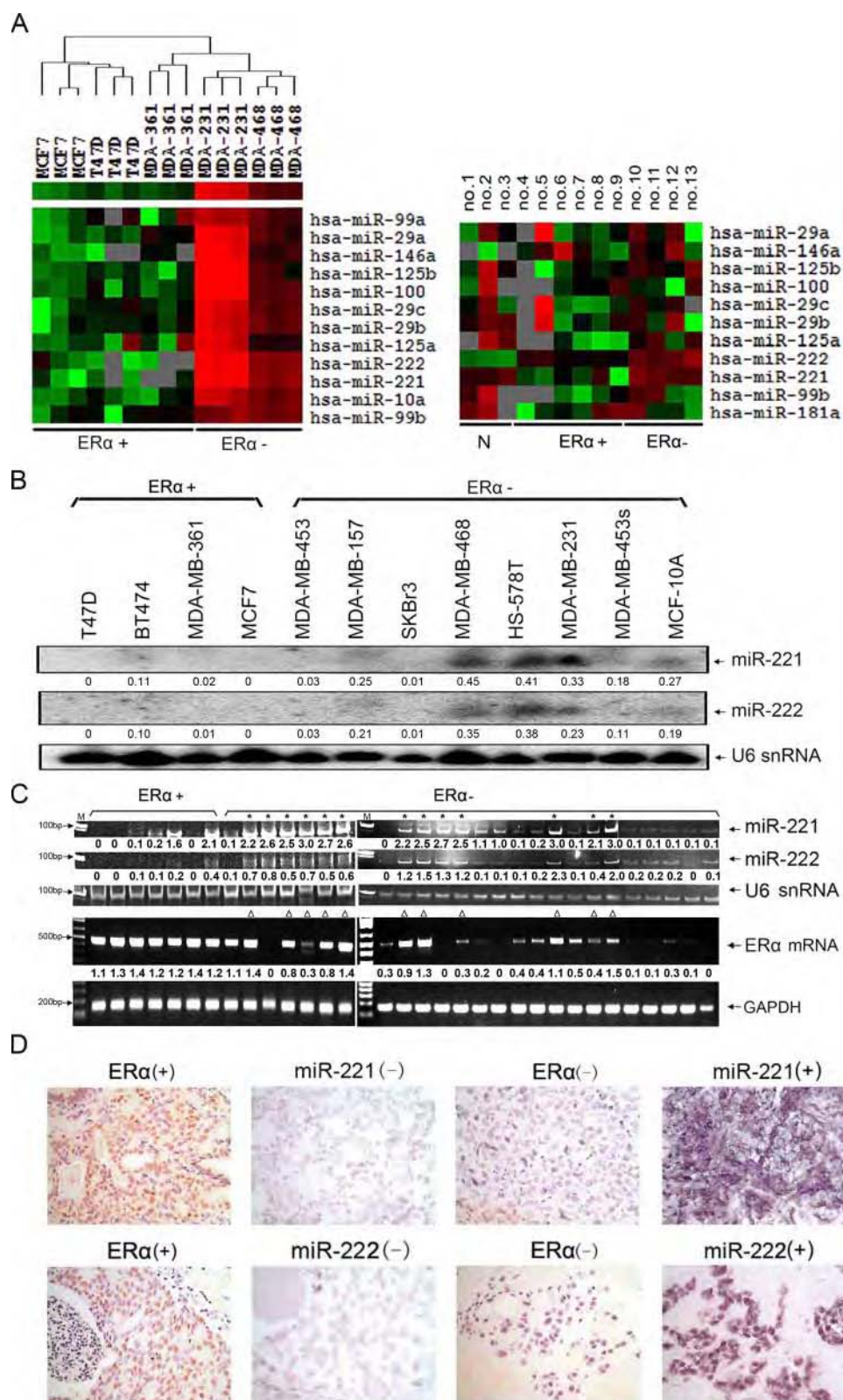
**Target *In Vitro* Luciferase Reporter Assay**—Two pmir-Report plasmids for the miR-221 and miR-222 target ER $\alpha$  3'-UTRs were constructed. pmir-ER $\alpha$ 1 contains a conserved target site of miR-221/222 in the ER $\alpha$  3'-UTR, and pmir-ER $\alpha$ 2 contains an unconserved target site. The sequences used to create pmir-ER $\alpha$  were as follow: pmir-ER $\alpha$ 1, 5'-CGCGTcctattgttgatattgaatgacagacaatcttatgtagcaagattatgcctgaaa-gggatccA-3' (forward) and 5'-AGCTTggatccctttcaggcataatctttgtacataagattgtctgtcattcaatccaacaataggA-3' (reverse); and pmir-ER $\alpha$ 2, 5'-CGCGTatgaagtggtacacctaagcttttatatgactgt-agcagagtatctggtgattgtcaggatccA-3' (forward) and 5'-AGCTTg-gatcctgacaatcaccagatactgtctacagtcataaaagctttaaggtgtaccatttcata-3' (reverse). The oligonucleotides were annealed and inserted into the pmir-Report vector (Ambion). The vector (pmir-0) alone was used as a negative control. MCF-7 and MDA-MB-468 cells were transfected with 0.1  $\mu$ g of the reporter plasmids and 0.3  $\mu$ g of pCMV- $\beta$ -gal. Following a 36-h incubation, cells were subjected to luciferase reporter assay using the luciferase assay system (Promega). Luciferase activities were normalized to  $\beta$ -galactosidase activities. Each experiment was repeated three times in triplicate.

**Statistical Analysis**—Statistical significance was analyzed by unpaired Student's *t* test, and *p*  $\leq$  0.05 was considered to be statistically significant.

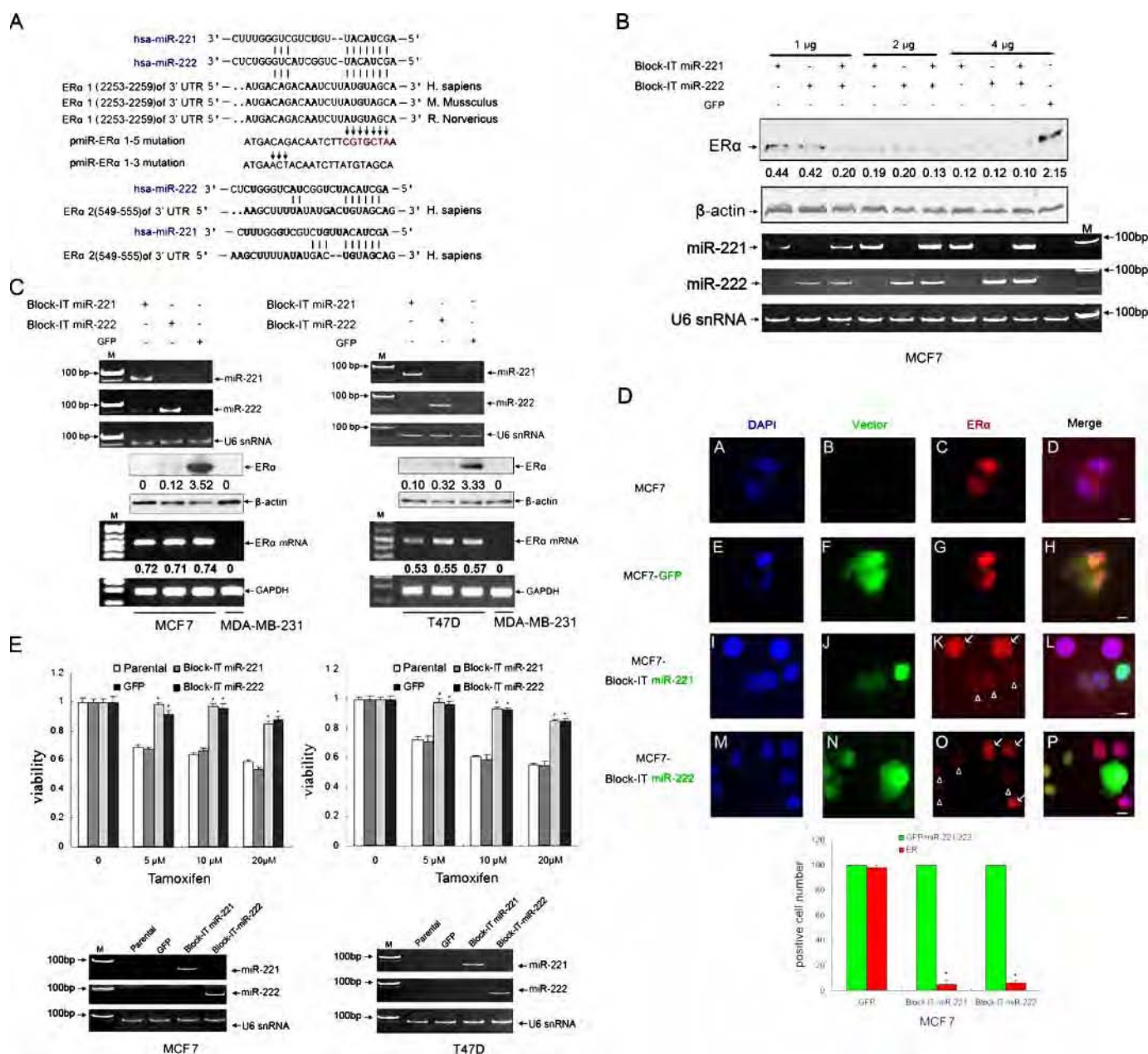
## RESULTS

**miR-221 and miR-222 Are Highly Expressed in ER $\alpha$ -negative Breast Cancer Cell Lines and Primary Tumors**—In an attempt to identify the miRNAs that contribute to regulation of ER $\alpha$  expression in breast cancer, we performed miRNA profiling in ER $\alpha$ -positive *versus* ER $\alpha$ -negative breast cancer cell lines as well as primary tumors. RNAs isolated from a total of five cell lines and 10 primary tumors were hybridized to a custom miRNA microarray platform containing 515 miRNAs. After three times of hybridization, quantification, and normalization, a dozen miRNAs, especially miR-221 and miR-222, were elevated in the ER $\alpha$ -negative cell lines and primary tumors compared with ER $\alpha$ -positive breast cancers (Fig. 1A). Consistent with the miRNA microarray data, Northern blot analysis revealed the expression of miR-221 and miR-222 in five of eight ER $\alpha$ -negative cell lines examined, with higher levels in MDA-





**FIGURE 1. Frequently increased expression of miR-221 and miR-222 in ER $\alpha$ -negative breast cancer.** A, partial heat map of miRNA microarray analysis of ER $\alpha$ -positive versus ER $\alpha$ -negative breast cancer cell lines and primary tumors. Several miRNAs were significantly elevated in ER $\alpha$ -negative cells. B and C, elevated levels of miR-221 and miR-222 in ER $\alpha$ -negative breast cancer cell lines and primary tumors. Total RNAs from the cell lines and primary tumors were subjected to Northern blot (B) and quantitative RT-PCR (C) analyses. U6 small nuclear RNA (snRNA) and glyceraldehyde-3-phosphate dehydrogenase (GAPDH) were used as loading controls. The blots were quantified by dividing miR-221 and miR-222 signals by U6 and by dividing ER $\alpha$  by glyceraldehyde-3-phosphate dehydrogenase. ER $\alpha$ -negative tumors overexpressing both miR-221 and miR-222 are labeled by asterisks, and the tumors also expressing ER $\alpha$  mRNA are indicated by triangles (C). M stands for marker. D, representation of the inverse correlation of expression of ER $\alpha$  and miR-221/miR-222. Breast tumor specimens were immunohistochemically stained with anti-ER $\alpha$  antibody (first and third panels). The second and fourth panels are the same specimens that were hybridized with the LNA-miR-221 and LNA-miR-222 probes using miRNA locked nucleic acid *in situ* hybridization as described under "Experimental Procedures."

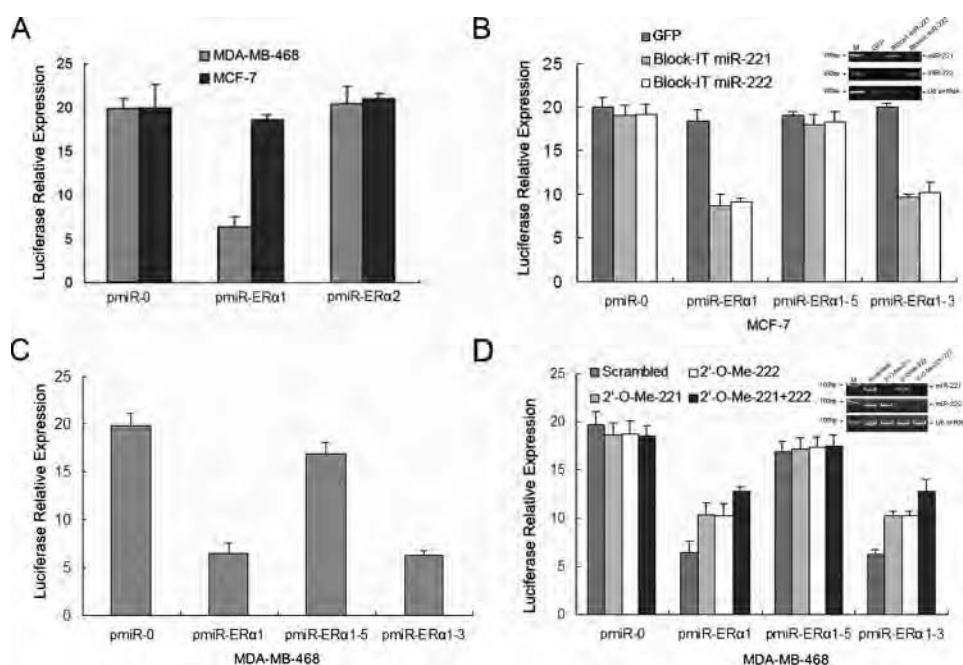
miR-221/222 Targets ER $\alpha$ 

**FIGURE 2. miR-221 and miR-222 negatively regulate ER $\alpha$  and render cells resistant to tamoxifen.** *A*, sequence alignment of the human miR-221 and miR-222 seed sequences with two regions of the ER $\alpha$  3'-UTR. One region is conserved (ER $\alpha$ 1; upper), and the other is not (ER $\alpha$ 2; lower). Mutants of pmiR-ER $\alpha$ 1-5 (seed sequence mutation) and pmiR-ER $\alpha$ 1-3 are shown (middle), and the mutant nucleotides are labeled in red. *B*, miR-221 and miR-222 inhibit ER $\alpha$  expression in a dose-dependent manner. MCF-7 cells were transiently transfected with indicated amounts of BLOCK-IT-miR-221 and BLOCK-IT-miR-222 expression plasmids. Following a 72-h incubation, cells were subjected to immunoblotting with anti-ER $\alpha$  (first panel) and  $\beta$ -actin (second panel) antibodies and quantitative RT-PCR analysis (third through fifth panels). Expression of transfected miR-221 and miR-222 is shown in the third and fourth panels. U6 small nuclear RNA (snRNA) is a loading control. Quantification was done by dividing ER $\alpha$  signals by actin. *M* stands for marker. *C*, decrease in ER $\alpha$  protein but not mRNA levels by stable expression of miR-221 or miR-222 in MCF-7 and T47D cells. Following transfection of BLOCK-IT-miR-221 or BLOCK-IT-miR-222, cells were selected with blasticidin. Stably transfected cells were subjected to quantitative RT-PCR (upper panels), Western blot (middle panels), and RT-PCR (lower panels) analyses. Dividing ER $\alpha$  signals by actin (Western) and glyceraldehyde-3-phosphate dehydrogenase (GAPDH; RT-PCR) was used to quantify the protein and mRNA levels of ER $\alpha$ , respectively. *D*, immunofluorescence staining of parental MCF-7 cells (panels A–D) and cells transiently transfected with the GFP vector (panels E–H), GFP-miR-221 (panels I–L), or GFP-miR-222 (panels M–P) with anti-ER $\alpha$  antibody (panels C, G, K, and O). Cells transfected with vector (e.g. expressing only GFP) exhibited the same levels of ER $\alpha$  as did parental cells (panels A–D). ER $\alpha$  signals in miR-221- and miR-222-transfected cells (arrowheads) were significantly lower than in untransfected surrounding cells (arrows). Quantitation of ER $\alpha$ -positive cells is shown in the bar graph. DAPI, 4',6-diamidino-2-phenylindole. *E*, ectopic expression of miR-221 and miR-222 reduces tamoxifen-induced cell death. MCF-7 and T47D cells were stably transfected with miR-221, miR-222, and the vector alone as described for C. Following treatment with or without tamoxifen, cell viability was examined by 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide assay. The experiment was repeated three times in triplicate. Asterisks indicate  $p < 0.05$ . The gels show expression of transfected miR-221 and miR-222.

MB-468, Hs578T, and MDA-MB-231 cells (Fig. 1B). Notably, all four ER $\alpha$ -positive breast cancer lines had very low levels of miR-221 and miR-222 (Fig. 1B). Furthermore, RT-PCR, immunostaining, and miRNA *in situ* hybridization analyses revealed

overexpression of miR-221 and miR-222 in 13 of 25 (52%) ER $\alpha$ -negative primary tumors, 11 of which had ER $\alpha$  mRNA expression (Fig. 1C). In contrast, of 16 ER $\alpha$ -positive tumors examined, only four expressed moderate levels of miR-221 and miR-222





**FIGURE 3. miR-221 and miR-222 interact with the conserved site of the ER $\alpha$  3'-UTR.** A, pmir-ER $\alpha$ 1 but not pmir-ER $\alpha$ 2 reporter activity is reduced only in miR-221/222-positive MDA-MB-468 cells. The pmir-ER $\alpha$ 1-Luc and pmir-ER $\alpha$ 2-Luc plasmids were introduced into MCF-7 and MDA-MB-468 cells together with  $\beta$ -galactosidase. Luciferase activity was measured and normalized after a 36-h incubation. B, ectopic expression of miR-221 or miR-222 inhibits pmir-ER $\alpha$ 1-Luc and pmir-ER $\alpha$ 1-3-Luc but not seed sequence mutant pmir-ER $\alpha$ 1-5-Luc. MCF-7 cells were transfected with the indicated plasmids and assayed for luciferase activity after a 36-h incubation. The inset shows expression of transfected miR-221 and miR-222. M stands for marker. snRNA, small nuclear RNA. C and D, the activities of pmir-ER $\alpha$ 1-Luc and pmir-ER $\alpha$ 1-3-Luc but not seed sequence mutant pmir-ER $\alpha$ 1-5-Luc are reduced in MDA-MB-468 cells (C), which are partially abrogated by knockdown of miR-221/222 (D). MDA-MB-468 cells were transfected with the indicated plasmids and 2'-O-Me. Following a 36-h incubation, luciferase reporter assay was performed as described under "Experimental Procedures." All experiments were repeated three times in triplicate. Expression of miR-221 and miR-222 is shown in the inset.

(Fig. 1, C and D), suggesting that miR-221 and miR-222 could regulate ER $\alpha$  expression possibly through inhibition of ER $\alpha$  translation.

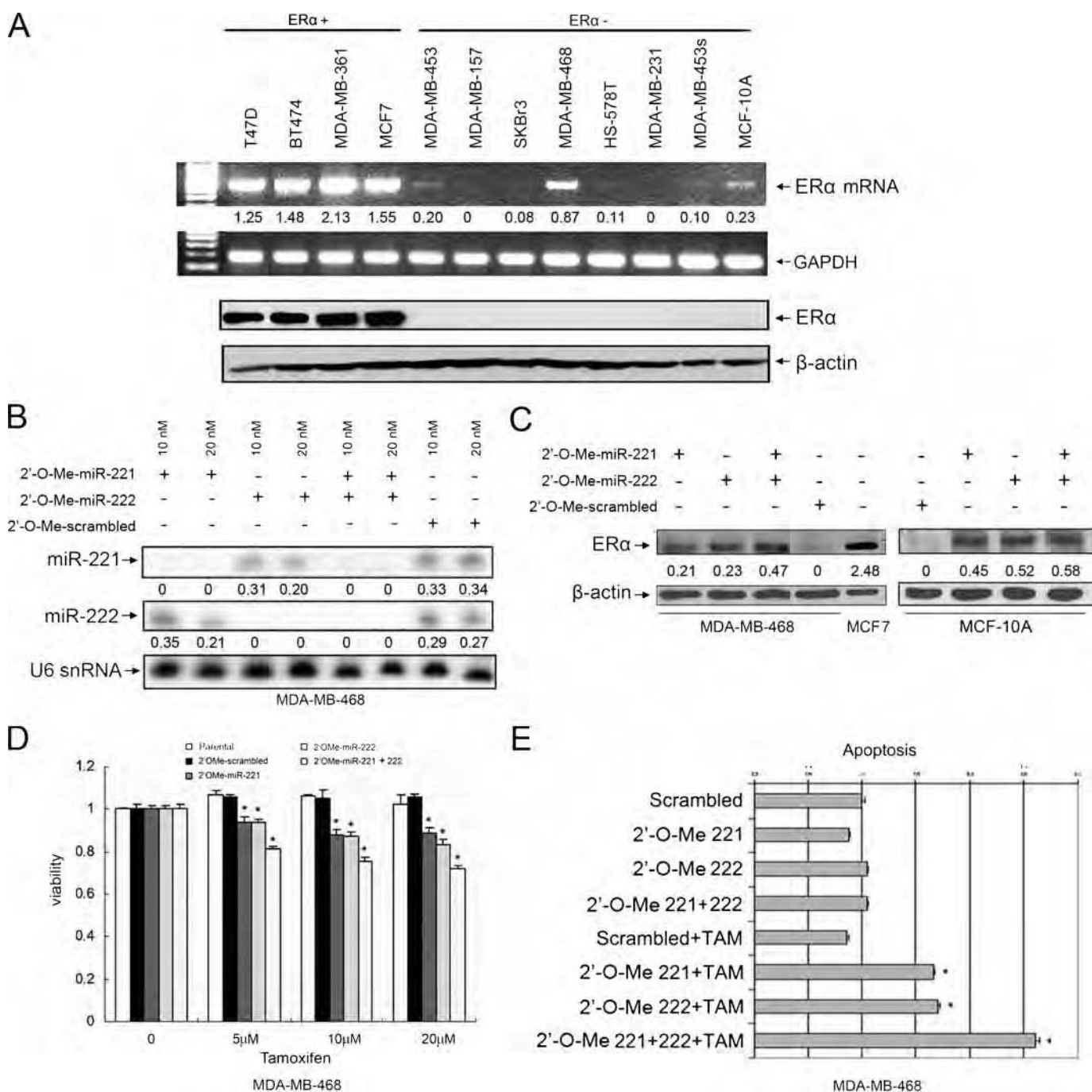
**ER $\alpha$  Protein but Not mRNA Is Suppressed by miR-221 and miR-222**—Because miRNAs negatively regulate their target genes through base-pairing interaction between their seed sequence and the 3'-UTR of target genes, we searched the miRNA TargetScan Database and found that two sequence motifs of the 3'-UTR of ER $\alpha$  match miR-221 and miR-222 seed sequences, one of which is conserved between human, mouse, and rat (Fig. 2A). To examine if ER $\alpha$  is indeed regulated by miR-221 and miR-222, we ectopically expressed miR-221 and miR-222 in ER $\alpha$ -positive MCF-7 and T47D cells (Fig. 1B), in which endogenous miR-221 and miR-222 are undetectable by Northern blotting. Transient transfection of increasing amounts of miR-221 and/or miR-222 in MCF-7 cells reduced ER $\alpha$  expression in a dose-dependent manner (Fig. 2B). Furthermore, stably miR-221- and miR-222-transfected MCF-7 and T47D cells decreased the protein but not mRNA levels of ER $\alpha$  (Fig. 2C). Because the BLOCK-iT plasmids express green fluorescent protein (GFP), we transiently transfected MCF-7 cells with BLOCK-iT-miR-221 and BLOCK-iT-miR-222 as well as the GFP vector alone. Immunofluorescence staining with anti-ER $\alpha$  antibody revealed that ER $\alpha$  levels were considerably reduced in the cells expressing miR-221 or miR-222 compared with the cells transfected with or without the GFP vector (Fig. 2D). As a result, miR-221- and miR-222-transfected

MCF-7 and T47D cells became resistant to tamoxifen-induced cell death (Fig. 2E).

To further demonstrate the direct regulation of ER $\alpha$  by miR-221 and miR-222, we constructed luciferase reporters with two targeting sequences of wild-type (pmir-ER $\alpha$ 1-3'UTR and pmir-ER $\alpha$ 2-3'UTR) and mutated (Fig. 2A) ER $\alpha$ 1-3'UTRs. Both the wild-type and mutant reporters were introduced into MCF-7 (miR-221/222-negative) and MDA-MB-468 (miR-221/222-positive) cells. The luciferase activities of pmir-ER $\alpha$ 1-3'UTR but not pmir-ER $\alpha$ 2-3'UTR were significantly suppressed in miR-221/222-positive MDA-MB-468 cells but not in miR-221/222-negative MCF-7 cells (Fig. 3A). Furthermore, ectopic expression of miR-221 or miR-222 in MCF-7 cells inhibited wild-type and mutant pmir-ER $\alpha$ 1-3 but not pmir-ER $\alpha$ 1-5 reporter activity (Figs. 2A and 3B). Moreover, the reporter activities of pmir-ER $\alpha$ 1 and pmir-ER $\alpha$ 1-3 but not pmir-ER $\alpha$ 1-5 were reduced in MDA-MB-468 cells (Fig. 3C). In addition, pmir-ER $\alpha$ 1 and

pmir-ER $\alpha$ 1-3 reporter activities were increased by knockdown of miR-221 and/or miR-222 in MDA-MB-468 cells (Fig. 3D). Taken collectively, these data indicate that ER $\alpha$  is inhibited by miR-221 and miR-222 at the translational level.

**Knockdown of miR-221 and miR-222 in MDA-MB-468 Cells Partially Restores ER $\alpha$  Expression and Tamoxifen Sensitivity**—Having demonstrated the miR-221 and miR-222 repression of ER $\alpha$  expression at the protein but not mRNA level, we reasoned that up-regulation of miR-221 and miR-222 is responsible for a subset of ER $\alpha$  protein-negative/mRNA-positive breast cancers. To test this hypothesis, we examined expression of ER $\alpha$  protein and mRNA in 12 breast cancer cell lines. Western blot and RT-PCR analyses revealed that six ER $\alpha$  protein-negative cell lines expressed ER $\alpha$  mRNA with more abundance in MDA-MB-468 and MCF-10A cells (Fig. 4A). Both cell lines also had high levels of miR-221 and miR-222 (Fig. 1B). Thus, we transfected MDA-MB-468 cells with 2'-O-Me-anti-miR-221 and/or 2'-O-Me-anti-miR-222 and control 2'-O-Me oligonucleotides. After a 72-h incubation, the expression levels of miR-221 and miR-222 were largely reduced in the cells treated with 2'-O-Me-anti-miR-221 and/or 2'-O-Me-anti-miR-222 (Fig. 4B). Immunoblot analysis showed that ER $\alpha$  protein was partially restored in miR-221 and/or miR-222 knockdown cells but not in control 2'-O-Me-treated cells (Fig. 4C). However, there was no significant difference between individual knockdown of miR-221 and miR-222 and their combination (Fig. 4C). Similar results were obtained in the MCF-10A cell line (Fig. 4C). Res-



**FIGURE 4. Knockdown of miR-221 and/or miR-222 partially restores ER $\alpha$  expression and tamoxifen sensitivity in ER $\alpha$  protein-negative/mRNA-positive cells.** A, expression of ER $\alpha$  protein and mRNA in breast cancer cell lines. Four ER $\alpha$ -positive and eight ER $\alpha$ -negative cell lines were subjected to RT-PCR (upper panels) and Western blot (lower panels) analyses for ER $\alpha$  expression. B and C, partial restoration of ER $\alpha$  expression by knockdown of miR-221 and miR-222. MDA-MB-468 or MCF-10A cells were transfected with 2'-O-Me-anti-miR-221 and/or 2'-O-Me-anti-miR-222. After a 72-h incubation, cells were subjected to Northern (B) and Western (C) blot analyses with the indicated probes and antibodies, respectively. Quantification was performed as described for Figs. 1 and 2. D and E, knockdown of miR-221 and miR-222 sensitizes MDA-MB-468 cells to tamoxifen-induced cell death. 2'-O-Me-anti-miR-221- and/or 2'-O-Me-anti-miR-222-transfected MDA-MB-468 cells from B were treated with the indicated doses of tamoxifen (TAM). Cell survival and apoptosis were analyzed by 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide assay (D) and using a Cell Death Detection ELISAPLUS kit (E). Each experiment was repeated three times in triplicate. Asterisks represent  $p < 0.05$  of tamoxifen-induced cell death between miR-221- and/or miR-222-knocked down cells and scrambled 2'-O-Me-treated cells.

toration of ER $\alpha$  could not be achieved by knockdown of miR-221 and/or miR-222 in ER $\alpha$  mRNA-negative cell lines such as MDA-MB-231 and SKBr3 (data not shown). These findings further support the notion that ER $\alpha$  is a direct target of miR-221 and miR-222 at the translation level.

We next examined whether the miR-221- and/or miR-222-knocked down MDA-MB-468 cells became sensitive to tamoxifen. As shown in Fig. 4 (D and E), knockdown of miR-221 or miR-222 reduced MDA-MB-468 cells resistant to tamoxifen-induced cell growth arrest and apoptosis. Cells with knock-



down of both miR-221 and miR-222 became more vulnerable to tamoxifen-inhibited cell growth (Fig. 4D) and tamoxifen-induced apoptosis (Fig. 4E) compared with cells with knockdown of either one alone.

## DISCUSSION

Because expression of ER $\alpha$  is a main predictor of response to endocrine therapy, lack of expression of ER $\alpha$  is a major mechanism of tamoxifen resistance in breast cancer. In this respect, the loss of ER $\alpha$  gene expression has been associated with the aberrant methylation of its CpG islands and histone deacetylation in a fraction of breast cancers (17–19). A recent report showed that miR-206 represses ER $\alpha$  mRNA and protein expression (20). In this study, we have demonstrated frequent up-regulation of miR-221 and miR-222 in ER $\alpha$ -negative breast cancer cell lines and primary tumors. miR-221 and miR-222 inhibit ER $\alpha$  expression at the protein but not mRNA level, indicating the suppression of ER $\alpha$  by these two miRNAs at the translational level. Taken collectively, these studies indicate that miRNAs are important regulators of ER $\alpha$  and could be major determinants of ER $\alpha$  status in human breast cancer.

Previous studies have focused primarily on ER $\alpha$  protein expression in breast cancer. Several reports have shown that a subset of ER $\alpha$  protein-negative breast cancer cell lines and primary tumors express ER $\alpha$  mRNA (21–25). However, the mechanisms by which the mRNA of ER $\alpha$  does not translate to protein are unclear. It was speculated that lack of ER $\alpha$  protein is not due to lack of ER $\alpha$  gene expression or methylation of its promoter, but might be due to post-transcriptional or post-translational mechanisms (23–25). Our study has shown that miR-221 and miR-222 inhibit ER $\alpha$  translation by direct interaction with the 3'-UTR of ER $\alpha$  and thus provide a molecular mechanism of ER $\alpha$  regulation at the post-transcriptional level in breast cancer.

It has been well documented that each miRNA negatively regulates hundreds of protein-coding genes. Recently, miR-221 and miR-222 have been shown to repress CDK inhibitory proteins p27<sup>Kip1</sup> and p57 as well as the c-Kit receptor, leading to cell proliferation and survival and inhibition of differentiation (26–31). In this study, we identified ER $\alpha$  as a direct target of miR-221 and miR-222. Knockdown of miR-221 and miR-222 restores ER $\alpha$  protein expression and sensitizes MDA-MB-468 cells to tamoxifen-induced cell growth arrest and apoptosis (Fig. 4), whereas ectopic expression of miR-221 and miR-222 in MCF-7 and T47D cells reduces the ER $\alpha$  protein level and renders the cells resistant to tamoxifen (Fig. 2E). Although miR-221 and miR-222 have an identical eight-nucleotide seed sequence and redundantly regulate p27, p57, and c-Kit (26–31) as well as ER $\alpha$ , the effect of the combined knockdown of miR-221 and miR-222 on tamoxifen-induced cell death is more significant than that of knockdown of either one alone (Fig. 4, D and E). This suggests that miR-221 and miR-222 might target different genes because the rest of nucleotide sequences of miR-221 and miR-222 are quite different (Fig. 2A).

In summary, we have demonstrated that miR-221 and miR-222 are frequently up-regulated in ER $\alpha$ -negative breast cancer cell lines and primary tumors. The elevated level of miR-221 and miR-222 is responsible for a subset of ER $\alpha$ -negative breast

tumors that express ER $\alpha$  mRNA. Furthermore, overexpression of miR-221 and miR-222 contributes to tamoxifen resistance through negative regulation of ER $\alpha$ , whereas knockdown of miR-221 and/or miR-222 restores ER $\alpha$  expression and tamoxifen sensitivity. Therefore, miR-221 and miR-222 could serve as potential therapeutic targets for a subset of ER $\alpha$ -negative breast cancers.

*Acknowledgments*—We are grateful to the Tissue Procurement, DNA Sequence, and Flow Cytometry Core Facilities at the H. Lee Moffitt Cancer Center for providing cancer specimens, sequencing, and cell cycle analysis.

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# MicroRNA-155 Is Regulated by the Transforming Growth Factor $\beta$ /Smad Pathway and Contributes to Epithelial Cell Plasticity by Targeting RhoA<sup>∇</sup>

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Received 12 June 2008/Returned for modification 1 August 2008/Accepted 8 September 2008

**Transforming growth factor  $\beta$  (TGF- $\beta$ ) signaling facilitates metastasis in advanced malignancy. While a number of protein-encoding genes are known to be involved in this process, information on the role of microRNAs (miRNAs) in TGF- $\beta$ -induced cell migration and invasion is still limited. By hybridizing a 515-miRNA oligonucleotide-based microarray library, a total of 28 miRNAs were found to be significantly deregulated in TGF- $\beta$ -treated normal murine mammary gland (NMuMG) epithelial cells but not Smad4 knockdown NMuMG cells. Among upregulated miRNAs, miR-155 was the most significantly elevated miRNA. TGF- $\beta$  induces miR-155 expression and promoter activity through Smad4. The knockdown of miR-155 suppressed TGF- $\beta$ -induced epithelial-mesenchymal transition (EMT) and tight junction dissolution, as well as cell migration and invasion. Further, the ectopic expression of miR-155 reduced RhoA protein and disrupted tight junction formation. Reintroducing RhoA cDNA without the 3' untranslated region largely reversed the phenotype induced by miR-155 and TGF- $\beta$ . In addition, elevated levels of miR-155 were frequently detected in invasive breast cancer tissues. These data suggest that miR-155 may play an important role in TGF- $\beta$ -induced EMT and cell migration and invasion by targeting RhoA and indicate that it is a potential therapeutic target for breast cancer intervention.**

Metastasis accounts for the majority of deaths of cancer patients, and thus, it is crucial to understand the molecular and cellular mechanisms that cause primary tumors to metastasize. The most critical step in the conversion of primary tumors to metastases is attributed to the process known as epithelial-mesenchymal transition (EMT). EMT is a remarkable example of cellular plasticity that involves the dissolution of epithelial tight junctions, the intonation of adherens junctions, the remodeling of the cytoskeleton, and the loss of apical-basal polarity (49, 55). In cells undergoing EMT, the loss of epithelial cell adhesion and cytoskeletal components is coordinated with a gain of mesenchymal components and the initiation of a migratory phenotype.

Transforming growth factor  $\beta$  (TGF- $\beta$ ) has emerged as a key regulator of EMT in late-stage carcinomas, where it promotes invasion and metastasis (54). TGF- $\beta$  binds to a heteromeric complex of transmembrane serine/threonine kinases, the type I and II TGF- $\beta$  receptors (T $\beta$ RI and T $\beta$ RII). Following ligand binding to T $\beta$ RII, the type I receptor is recruited to the ligand-receptor complex, where the constitutively active T $\beta$ RII transactivates T $\beta$ RI. Activated T $\beta$ RI phosphorylates the receptor-specific Smad2 and Smad3. Phosphorylated Smad2/Smad3 associates with Smad4 as a heteromeric complex and translocates to the nucleus. This complex binds directly to

Smad-binding elements and associates with a plethora of transcription factors, coactivators or corepressors, thus leading to the transcriptional induction or repression of a diverse array of genes (54). A number of genes that are associated with tumor growth and metastasis have been shown previously to be directly regulated by this pathway, the effects of which include the induction of COX2, Slug, Snail, and Twist and the repression of Id2 and Id3 (54). Recent reports have shown the importance of microRNA-200 (miR-200) family downregulation during EMT (2, 12, 21, 36); however, the functions of upregulated miRNAs during TGF- $\beta$ -induced EMT remain uncharacterized.

miRNAs are a class of 22-nucleotide noncoding RNAs that are evolutionarily conserved and function as negative regulators of gene expression. Like conventional protein-encoding mRNA, miRNAs are transcribed by RNA polymerase II and controlled by transcription factors (1, 9, 16, 38). The primary transcript (pri-miRNA) is capped and polyadenylated. The pri-miRNA is processed by the nuclear RNase III Drosha and its cofactor DGCR8/Pasha to generate a precursor miRNA, a 60- to 70-nucleotide RNA that has a stem-loop structure (3, 13, 15). The precursor miRNA is rapidly exported to the cytoplasm by exportin-5 in a Ran-GTP-dependent manner, where it is further processed by a second RNase III, Dicer, to release a mature ~22-nucleotide miRNA. Subsequently, the mature miRNA enters an RNA-induced silencing complex, guides this complex to regions of complementarity in the 3' untranslated region (UTR) of target mRNAs, and triggers either their degradation or the inhibition of translation, depending on the degree of complementarity between the miRNA and its target

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<sup>∇</sup> Published ahead of print on 15 September 2008.



mRNA (24, 44). Based on predictions by publicly available algorithms, each miRNA may have several hundreds to potentially thousands of target mRNAs (25, 32). miRNA profiling has shown the deregulation of miRNAs in different types of human malignancy, some of which are associated with late-stage and high-grade tumors as well as poor prognosis (29, 34, 35), implying that miRNA may play a pivotal role in tumorigenesis and in tumor progression to metastasis.

In the present study, we profiled the miRNA signature of EMT induced by the TGF- $\beta$ /Smad pathway in normal murine mammary gland (NMuMG) epithelial cells. We further demonstrated that miR-155 is a direct transcriptional target of the TGF- $\beta$ /Smad4 pathway and mediates TGF- $\beta$ -induced EMT. The ectopic expression of miR-155 disrupted proper tight junction formation and promoted cell migration and invasion. The knockdown of miR-155 reduced the occurrence of TGF- $\beta$ -induced EMT and cell migration and invasion. Moreover, RhoA is negatively regulated by miR-155. The restoration of RhoA by using an expression vector cloned without the 3' UTR eliminated the effects of miR-155-induced phenotypes. Thus, we demonstrated for the first time that miR-155 is regulated by the TGF- $\beta$ /Smad pathway and plays a role in mammary epithelial cell plasticity through the targeting of RhoA.

#### MATERIALS AND METHODS

**Cell line, treatment, and tumor specimens.** NMuMG epithelial cells were purchased from the American Type Culture Collection (Manassas, VA). Stable Smad4 knockdown (pRetroSuper-Smad4-shRNA) and pRetroSuper (pRS) vector-transfected (parental) NMuMG cells were kindly provided by Peter ten Dijke (Leiden University Medical Center, The Netherlands) (5). The cells were maintained in Dulbecco's modified Eagle medium supplemented with 10% fetal bovine serum. Cells were treated with TGF- $\beta$  at a concentration of 5 ng/ml for the times indicated in the figures and legends. Cell transfection experiments were performed with Lipofectamine 2000 (Invitrogen). Frozen human primary breast tumor tissues and normal breast tissues were procured anonymously from patients who underwent surgery at H. Lee Moffitt Cancer Center, and each tumor sample contained at least 70% tumor cells as confirmed by the microscopic examination of sections. The tissues were snap-frozen within 15 min of accrual to prevent RNA degradation and stored at  $-70^{\circ}\text{C}$ .

**miRNA microarray, Northern blot, and quantitative reverse transcription-PCR (qRT-PCR) analyses.** Total RNA from cell lines and breast tumor and normal tissues was isolated using Trizol reagent (Invitrogen). miRNA array profiling was performed as described previously (52). Briefly, oligonucleotide arrays were printed with trimer oligonucleotide probes carrying antisense sequences relative to 515 miRNAs specific to humans and mice on GeneScreen Plus (NEN) membranes. The miRNA expression profiling was performed by the hybridization of the array with [ $\gamma$ - $^{32}\text{P}$ ]ATP-labeled small RNA probes prepared from TGF- $\beta$ -treated and untreated NMuMG cells. To ensure the accuracy of the hybridizations, each experimental grouping was hybridized onto three separate membranes. In addition, eight oligonucleotides not matching any known miRNA were used as hybridization controls. Hybridization signals for each spot of the array and background values at 15 empty spots were measured. Raw data were further automatically processed in Microsoft Excel. Hybridization signals that failed to exceed the average background value by more than three standard deviations were excluded from analysis. The data were normalized, and an unsupervised hierarchical clustering analysis with average linkage algorithms was performed with GeneCluster. The results were visualized with TreeView. Differentially expressed miRNAs were identified by using the *t* test procedure within the significance analysis of the microarray.

For Northern blot analysis, 20  $\mu\text{g}$  of RNA was separated on a 15% denaturing polyacrylamide gel and then electroblotted onto a Zeta-Probe GT blotting membrane (Bio-Rad). Following transfer, the membrane was dried and UV cross-linked. The probes were prepared using the Starfire oligonucleotide labeling system according to the protocol of the manufacturer (Integrated DNA Technologies). The blots were hybridized overnight at  $42^{\circ}\text{C}$  in a buffer containing  $5\times$  SSC ( $1\times$  SSC is 0.15 M NaCl plus 0.015 M sodium citrate), 20 mM  $\text{Na}_2\text{HPO}_4$  (pH 7.2), 7% sodium dodecyl sulfate,  $1\times$  Denhardt's solution, and 0.2 mg of

salmon sperm DNA/ml and then washed with  $1\times$  SSC-1% sodium dodecyl sulfate buffer at  $42^{\circ}\text{C}$  (25). qRT-PCR was performed with the *mirVana* qRT-PCR detection kit according to the instructions of the manufacturer (Ambion).

**Immunofluorescence and immunoblotting.** For immunofluorescence, cells grown to 60 to 80% confluence were washed with phosphate-buffered saline and fixed with 4% paraformaldehyde. Cells were permeabilized with 0.5% Triton X-100 in phosphate-buffered saline prior to the addition of primary and secondary antibodies. The visualization of E-cadherin was performed by staining with mouse anti-E-cadherin (BD Transduction Labs) and then with tetramethyl rhodamine isothiocyanate-conjugated goat secondary anti-mouse immunoglobulin G (IgG; Sigma). The visualization of ZO-1 was performed by staining with rabbit anti-ZO-1 (34) and then with fluorescein isothiocyanate-conjugated goat secondary anti-rabbit IgG (Sigma). Fluorescence imaging was performed by confocal microscopy (Leica), and phase-contrast imaging was performed with an inverted microscope (Nikon). Immunoblotting analysis was carried out as described previously (52).

**Isolation and analysis of the miR-155 promoter.** miR-155 is found within the BIC gene on chromosome 21 in humans and chromosome 16 in mice. The genomic structure of human BIC consists of three exons, of which exon 3 encodes miR-155 (8, 43). Based on the transcription start site identified previously by other groups (33, 53), a 1.0-kb putative promoter was amplified by nested PCR using NMuMG cell genomic DNA as the template. The PCR products were cloned into the pGL3-basic vector (Promega) by utilizing the HindIII-MluI sites, and the sequence of the resulting construct was confirmed by DNA sequencing. All-in-one Seq-Analyzer software was used to identify putative Smad4-binding sites within the miR-155/BIC promoter.

**ChIP assay.** NMuMG cells were cultured to 70 to 80% confluence and treated with TGF- $\beta$  at 5 ng/ml for 24 h. Cells were harvested for chromatin immunoprecipitation (ChIP) analysis as described previously (35). Briefly, solubilized chromatin was prepared from a total of  $2\times 10^7$  cells. The chromatin solution was diluted 10-fold with ChIP dilution buffer and precleared with protein A beads and preimmune serum. The precleared chromatin solution was divided and utilized in immunoprecipitation assays with either an anti-Smad4 antibody or an anti-IgG antibody. Following washing, the antibody-protein-DNA complex was eluted from the beads. After cross-linking, protein and RNA were removed and the purified DNA was subjected to PCR with primers specific for two putative Smad4-binding sites within the BIC/pri-miR-155 promoter. The sequences of the PCR primers used were as follows: 5'-CCAAAGGAATCACTGGAGGA-3' and 5'-CCCACAGGTCCTAGGCAAT-3'. Amplified PCR products were resolved by 1.5% agarose gel electrophoresis and visualized by BioImage.

**Knockdown of miR-155.** The knockdown of miR-155 in NMuMG cells was achieved by transfection with antisense 2'-O-methyl oligoribonucleotides (ASO) against miR-155 by using Lipofectamine 2000 (Invitrogen). Transfection complexes were prepared according to the instructions of the reagent manufacturer and added directly to the cells at a final oligonucleotide concentration of 10 nmol/liter. Following 36 h of incubation, cells were treated with or without human TGF- $\beta$ 1 (2 ng/ml; RD Systems) for different times and evaluated for tight junctions and EMT phenotypes. ASO were composed entirely of 2'-O-methyl bases and were chemically synthesized by Integrated DNA Technologies (Coralville, IA) with the following sequences: 2'-O-Me-155, 5'-CCCCTATCACAA TTAGCATTA-3', and 2'-O-Me-scrambled, 5'AAGGCAAGCUGACCCUGA AGU-3'.

**Construction of expression plasmid and establishment of cell lines with stable miR-155 expression.** An miR-155 expression plasmid was created according to the protocol for the BLOCK-iT polymerase II miRNA RNA interference expression vector kit. Briefly, the following oligonucleotides were cloned into the pcDNA6.2-GW/miR vector (Invitrogen) and the resulting construct was designated pcDNA6.2-GW/miR-155: 5'-TGCTGTTAATGCTAATTGTGATAGGG GGTITTTGGCCACTGACTGACCCCTATCAATTAGCATT-3' and 5'-CCT GCAATGCTAATTGATAGGGGGTCAAGTCAGTGGCCAAACCCCTAT CCAATTAGCATTAAAC-3'. To generate cells stably expressing miR-155, NMuMG cells were transfected with pcDNA6.2-GW/miR-155 or pcDNA6.2-GW/miR-control vector by using Lipofectamine 2000 (Invitrogen). Following selection with blasticidin, stable clonal cell lines were established and examined for the expression of miR-155 by Northern analysis.

**RhoA gene 3' UTR luciferase reporter assay.** To create individual RhoA gene 3' UTR luciferase reporter constructs, 60-bp sequences from putative miR-155 binding sites were synthesized and ligated into the pMIR-REPORT vector (Ambion) at SpeI and HindIII sites. To create a full-length RhoA gene 3' UTR reporter, the following primers were used to amplify the 3' UTR of the RhoA gene from a mouse cDNA library: 5'-ACTAGTGCAGCTCATGCGGTAA T-3' and 5'-AAGCTTTTTTTTTAGAAAAGTGCCTTTATTCT-3'. The primers were digested and cloned into the pMIR-REPORT vector (Ambion) at SpeI



and HindIII sites. To create a mutant 3' UTR, point mutations were introduced at the first two miR-155-matching nucleotides within selected putative seeding sequence regions with the following rules: A was changed to T and vice versa, and G was changed to C and vice versa. NMuMG cells in 24-well plates were transfected with 0.10  $\mu$ g of the pMIR-REPORT-3'UTR/RhoA luciferase reporter, 0.05  $\mu$ g of the normalization plasmid pCMV- $\beta$ -galactosidase, and 0.6  $\mu$ g of miR-155 or non-green fluorescent protein-expressing control vector or 5 ng of TGF- $\beta$ /ml. Luciferase assays were performed using a luciferase assay system (Promega), and activities were normalized to  $\beta$ -galactosidase activity (52).

## RESULTS

**Profiles of miRNA expression in TGF- $\beta$ -induced EMT in NMuMG and Smad4 knockdown NMuMG cells.** The TGF- $\beta$ /Smad pathway plays a critical role in promoting cancer metastasis. Previous studies have identified a number of protein-encoding genes that are regulated by TGF- $\beta$ /Smad and mediate TGF- $\beta$  function (54). Since TGF- $\beta$ -induced EMT in NMuMG cells is a frequently used cellular model to study the molecular mechanism of cancer metastasis (5, 34) and its miRNA expression signature is not completely understood, we proceeded to profile changes in mRNA expression by using an miRNA microarray in an attempt to identify possible miRNAs involved in TGF- $\beta$ /Smad-induced EMT and cell migration and invasion. Results from previous studies have shown that different cell lines, including NMuMG cells, with the stable knockdown of Smad4 fail to undergo EMT in response to TGF- $\beta$  treatment (5). As shown in Fig. 1A, the Smad4 level was decreased by 80% after the stable transfection of NMuMG cells with Smad4 short hairpin RNA. As expected, parental NMuMG cells but not Smad4 knockdown NMuMG cells underwent EMT after TGF- $\beta$  treatment. Thus, we treated both cell lines with TGF- $\beta$  for 0, 24, and 36 h to obtain an miRNA signature by using three separate hybridizations. Hybridization to a custom microarray, which contained 515 miRNAs, revealed 28 differentially regulated miRNAs in the parental cells but not in the Smad4 knockdown NMuMG cells between 0 and 24 or 36 h of TGF- $\beta$  treatment, with a *P* value of  $\leq 0.05$  (Fig. 1B and C). Of the 28 listed miRNAs, 9 were upregulated and 19 were downregulated during TGF- $\beta$  treatment as demonstrated at both the 24- and 36-h time points (Fig. 1C). Our array revealed that the members of the let-7 and miR-30 families of miRNAs were consistently downregulated and that clustered miRNAs were often regulated simultaneously (Fig. 1D). In agreement with previous findings, our array data also showed significant downregulation of miR-200c and miR-205 during the expression of a mesenchymal phenotype (12). miR-155, miR-214, miR-21, and miR-323 were all found to be significantly upregulated. We selected five significantly deregulated miRNAs for validation by Northern blot and qRT-PCR analyses to determine the accuracy of the array data (Fig. 2A and data not shown).

We next examined whether the promoters of TGF- $\beta$ -regulated miRNAs may contain a Smad4-binding element. An analysis of the sequence of a 6-kb DNA region (5 kb upstream to 1 kb downstream from the position corresponding to the end of the pri-miRNA), designated the putative miRNA promoter, was conducted using MATCH and TRANSFAC (22, 29). As indicated in Fig. 1C, both mouse and human promoters of TGF- $\beta$ -deregulated miRNAs contained one or more Smad4-binding sites, further suggesting that these miRNAs may be

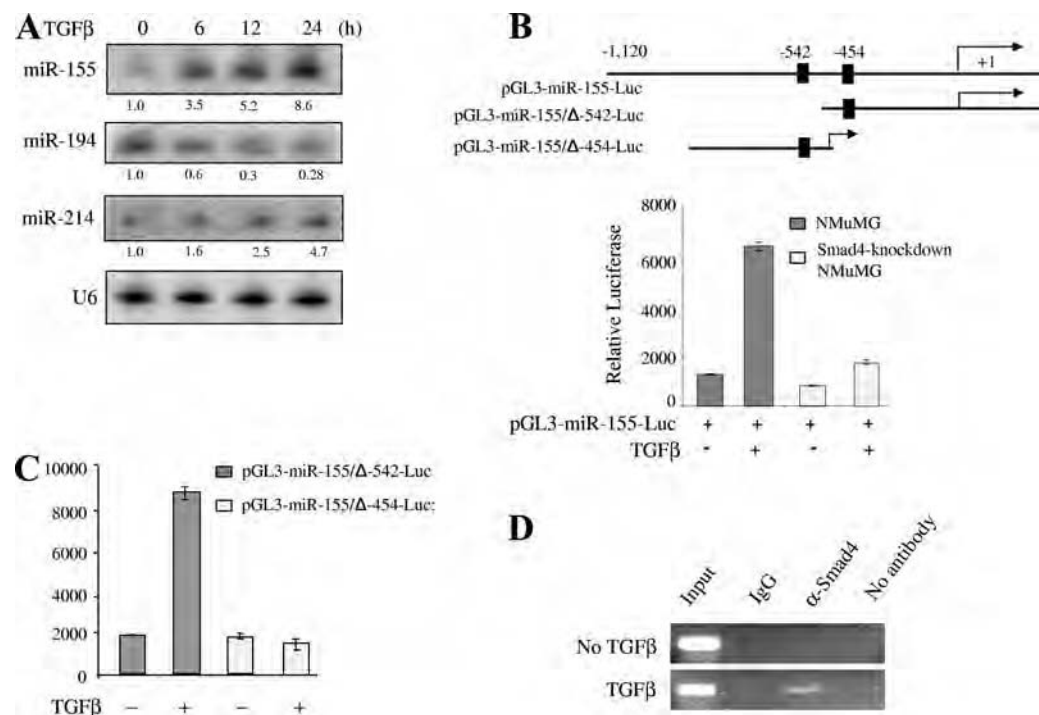
regulated by the TGF- $\beta$ /Smad pathway and play important roles in TGF- $\beta$ -induced EMT.

**miR-155 is a direct target of the TGF- $\beta$ /Smad pathway.** Since miR-155 is a highly upregulated miRNA in TGF- $\beta$ -treated NMuMG cells (Fig. 1C and 2A) and its function in metastasis is currently unknown, we investigated if miR-155 is directly regulated by the TGF- $\beta$ /Smad4 pathway. We cloned a mouse promoter 1.0 kb upstream from the transcriptional start site of the BIC (pri-miR-155) gene into the pGL3-basic vector (33, 53). Sequence analysis revealed two Smad response elements (CAGAC and CTGTCTGT) (23) located at bp -542 and -454 from the transcription start site, which are conserved in the human miR-155 promoter. A luciferase reporter assay revealed that miR-155 promoter activity is induced by TGF- $\beta$  in parental but not Smad4 knockdown NMuMG cells (Fig. 2B). Deletion mapping showed that the first Smad4-binding site (i.e., bp -454) is responsible for TGF- $\beta$ -induced miR-155 promoter activity (Fig. 2C). To determine whether Smad4 could directly bind to the Smad-binding site within the promoter *in vivo*, we carried out a ChIP assay. NMuMG cells were treated with or without TGF- $\beta$  for 24 h and immunoprecipitated with anti-Smad4 antibody. Figure 2D shows that Smad4 specifically bound to the promoter following TGF- $\beta$  treatment. These findings indicate that miR-155 is a transcriptional target of the TGF- $\beta$ /Smad pathway.

**miR-155 facilitates TGF- $\beta$ -induced EMT and tight junction dissolution, as well as cell migration and invasion.** We next assessed whether miR-155 plays a role during TGF- $\beta$ -induced EMT. First, NMuMG cells were transfected with ASO against miR-155 and control ASO and then treated with or without TGF- $\beta$ . Figure 3A shows that miR-155 ASO effectively knocked down miR-155 induced by TGF- $\beta$ . Accordingly, TGF- $\beta$ -driven morphological changes were reduced by the knockdown of miR-155 for up to 24 h (Fig. 3B). Immunofluorescent staining for E-cadherin and ZO-1 at the 24-h time point revealed that tight junction assembly was disrupted by TGF- $\beta$  in control ASO-transfected NMuMG cells but still intact in miR-155 knockdown cells (Fig. 3C). In addition, the TGF- $\beta$  downregulation of E-cadherin was abolished by the knockdown of miR-155 (Fig. 3D). To further demonstrate the effect of miR-155 on TGF- $\beta$  function, we established a cell line stably transfected with miR-155 by the transfection of NMuMG cells with pcDNA6.2-GW/miR-155 followed by selection with blasticidin (Fig. 3A). The ectopic expression of miR-155 alone was not sufficient to induce EMT but did cause disruption in cell polarity and tight junction formations (Fig. 3E and F). Moreover, cells overexpressing miR-155 underwent a complete TGF- $\beta$ -induced EMT by 12 h compared to the 24 to 36 h it normally takes for the parental control cells in response to TGF- $\beta$  (Fig. 3E and F). In addition, the E-cadherin level in miR-155-transfected cells was much lower than that in vector-treated cells by 12 h of TGF- $\beta$  treatment (Fig. 3G).

Furthermore, we assessed the role of miR-155 in cell mobility and invasion. Cell migration was examined using Boyden chambers and "wound healing" assays. Cell invasion was measured using Matrigel-coated Boyden chambers as previously described (28). Triplicate experiments showed that the stable expression of miR-155 nearly doubled cell migration, as illustrated by the results of Boyden chamber and wound healing assays (Fig. 4A, B, and D). Further, cell invasion was also





**FIG. 2.** TGF-β/Smad transcriptionally regulates miR-155. (A) Verification of TGF-β-regulated miRNAs. Parental NMuMG cells were treated with TGF-β for the indicated times and subjected to Northern blot analysis with the indicated probes. The numbers between the gels represent the miR-155-to-U6 band density ratios. (B) TGF-β induces miR-155 promoter activity in parental but not Smad4 knockdown NMuMG cells. (Top panel) The diagram depicts the putative mouse miR-155 promoter construct containing two Smad4-binding sites and the individual Smad4-binding-site deletion mutant constructs cloned into the pGL3 plasmid. Parental and Smad4 knockdown cells were transfected with pGL3-miR-155-Luc and treated with or without TGF-β. Following 36 h of incubation, the cells were subjected to a luciferase reporter assay. The experiments were done three times with triplicate samples for each treatment. +, present; –, absent. (C) The first Smad4-binding site is required for TGF-β-induced miR-155 promoter activity. NMuMG cells were transfected with the indicated plasmids, treated (+) with TGF-β or left untreated (–), and assayed for luciferase activity. Values are expressed as relative luciferase units. (D) TGF-β induces Smad4 binding to the miR-155 promoter. NMuMG cells treated with or without TGF-β were evaluated by a ChIP assay. PCR was done with the eluted DNA fragments from anti-Smad4 immunoprecipitates by using a set of primers that detect the first Smad4-binding site, determined in the reporter assay to be important. IgG and antiactin antibody were used as negative controls. α-Smad4, anti-Smad4 antibody.

significantly enhanced by the stable overexpression of miR-155 (Fig. 4A and D). In contrast, the knockdown of miR-155 considerably reduced cell migration and invasion (Fig. 4C and D). Taken collectively, these results indicate that miR-155 plays an important role in TGF-β-induced EMT, as well as in cell migration and invasion.

**RhoA is negatively regulated by miR-155.** Since miR-155 was significantly upregulated in NMuMG cells after treatment with TGF-β (Fig. 1C and 2A) and mediates TGF-β function in EMT (Fig. 3) and cell mobility and invasion (Fig. 4), we proceeded to identify potential targets known to play a role in EMT by using RNA22 miRNA target detection and miRBase databases. Among the candidates surveyed, we found that the

3' UTR of the RhoA gene, which plays an important role in cell junction formation and stabilization (34, 37, 46, 49), contains three highly conserved regions that may serve as a binding site for miR-155 as determined by the RNA22 algorithm (Fig. 5A).

To examine whether the RhoA gene is indeed a target of miR-155, NMuMG cells were transfected with pcDNA6.2-GW/miR-155 and pcDNA6.2-GW/miR-control and selected with blasticidin. Immunoblotting and RT-PCR analyses revealed that RhoA protein but not RhoA mRNA was considerably decreased in miR-155-transfected cells (Fig. 5B). As expected, TGF-β treatment reduced the expression level of RhoA in NMuMG cells (Fig. 5C). However, the knockdown of

**FIG. 1.** Profile of miRNA expression in TGF-β/Smad-induced EMT in NMuMG cells. (A) TGF-β induces the cellular morphological change of EMT in control (pRS vector-transfected) but not Smad4 knockdown NMuMG cells. (Upper panels) A Western blot analysis of control and Smad4-knockdown NMuMG cells was performed with anti-Smad4 and antiactin antibodies. shRNA, short hairpin RNA; +, present; –, absent. (Lower panels) The indicated cells were treated with (+) or without (–) TGF-β for 24 h and photographed. pRS-NMuMG, pRS vector-transfected NMuMG cells. (B) Heat map representation of miRNAs deregulated in control and Smad4 knockdown NMuMG cells during TGF-β treatment. The red arrowhead indicates hsa-miR-155 highest upregulated miRNA. (C) List of deregulated miRNAs induced by TGF-β in control but not Smad4 knockdown NMuMG cells. (D) Chromosomal representation of the locations of deregulated miRNAs within mouse genomic DNA. Clustered miRNAs were simultaneously downregulated or upregulated during TGF-β treatment. Chr, chromosome.



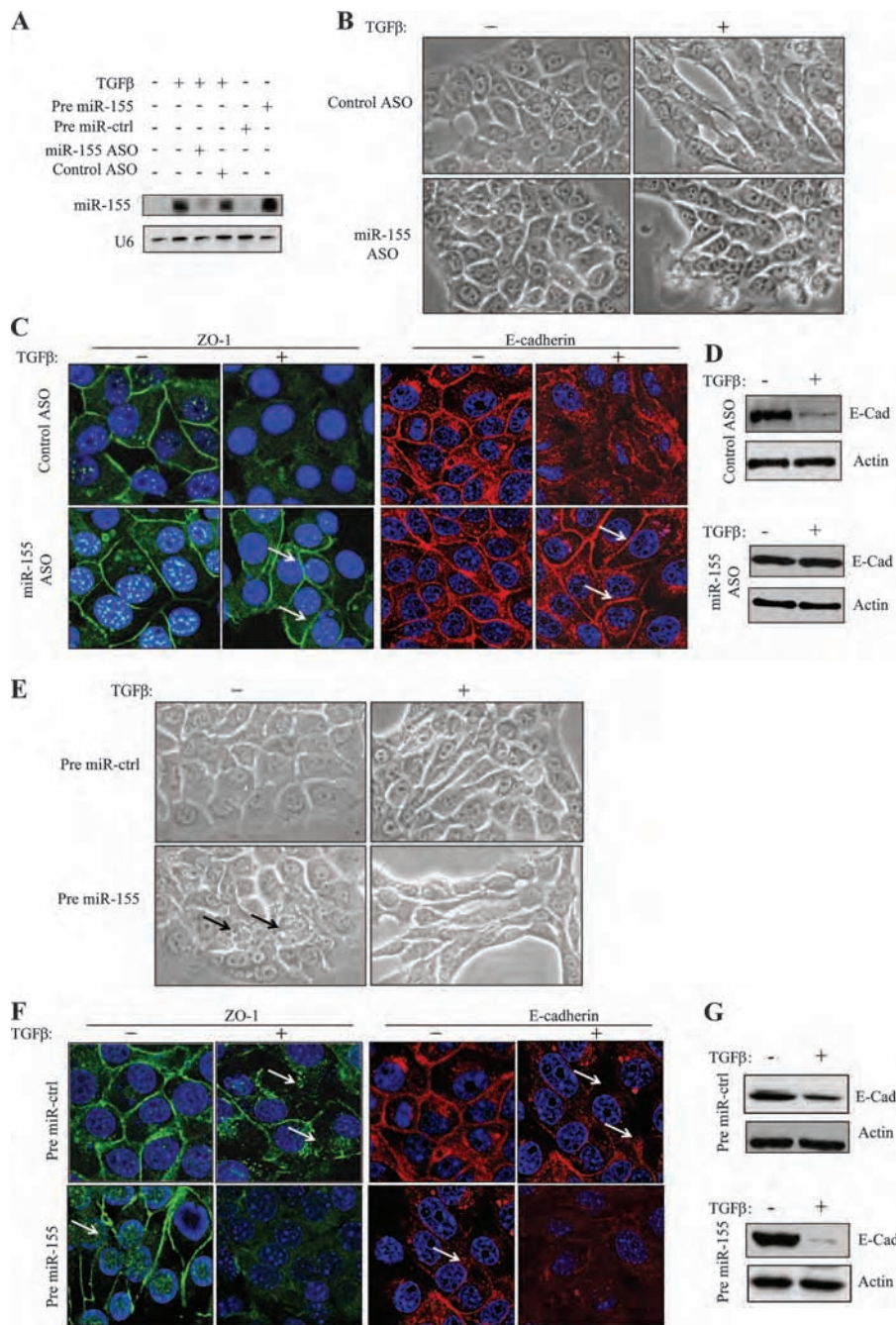


FIG. 3. miR-155 mediates the effect of TGF- $\beta$  on EMT. (A) Ectopic expression and knockdown of miR-155. NMuMG cells were transfected with the indicated plasmids and oligonucleotides. Following treatment with (+) or without (-) TGF- $\beta$ , cells were subjected to Northern blot analysis with [ $\alpha$ - $^{32}$ P]dATP-labeled miR-155 and U6 probes. Pre miR-155, pcDNA6.2-GW/miR-155; Pre miR-ctrl, pcDNA6.2-GW/miR-control. (B and C) The knockdown of miR-155 inhibited TGF- $\beta$ -induced EMT and tight junction dissolution. NMuMG cells were transfected with miR-155 ASO and control ASO and then treated with or without TGF- $\beta$  for 24 h. Cell morphologies were documented using a phase-contrast microscope (B), and cells were stained with anti-ZO-1 and anti-E-cadherin antibodies conjugated to fluorescein isothiocyanate and tetramethyl rhodamine isothiocyanate, respectively (C). Arrows in panel C indicate the restoration of TGF- $\beta$ -disrupted tight junctions by the knockdown of miR-155. (D) The knockdown of miR-155 inhibits the TGF- $\beta$  downregulation of E-cadherin (E-Cad). NMuMG cells were transfected with control and miR-155 ASO, treated with TGF- $\beta$  for 24 h, and then immunoblotted with the indicated antibodies. (E and F) The overexpression of miR-155 disrupted proper tight junction formations and accelerated TGF- $\beta$ -induced EMT. Stably miR-155-transfected and control NMuMG cells were treated with or without TGF- $\beta$  for 12 h and photographed (E) and immunofluorescence-stained with the indicated antibodies (F). The tight junction dissolution promoted by the ectopic expression of miR-155 is indicated by arrows. (G) Western blot analysis of E-cadherin in NMuMG cells that were transfected with miR-155 and then treated with TGF- $\beta$  for 12 h.

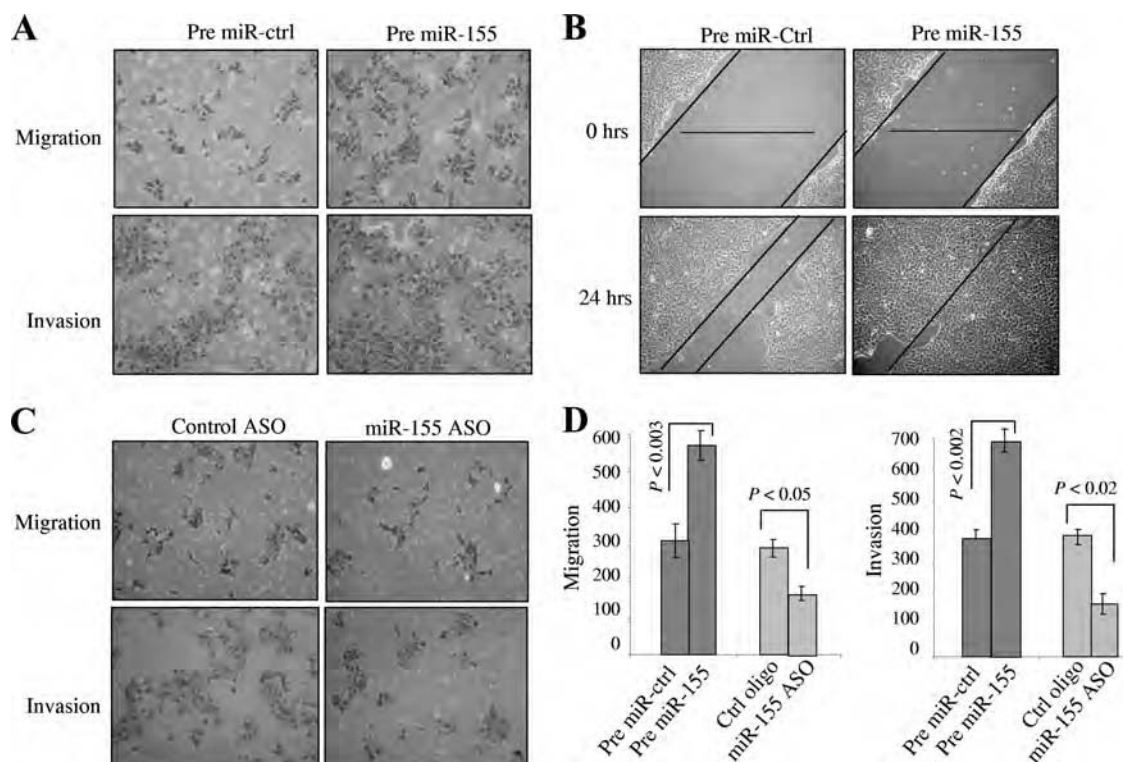


FIG. 4. miR-155 plays a significant role in cell migration and invasion. (A and B) The ectopic expression of miR-155 induces cell migration and invasion. NMuMG cells stably transfected with pcDNA6.2-GW/miR-control (Pre miR-ctrl) and pcDNA6.2-GW/miR-155 (Pre miR-155) were examined for cell migration and invasion by using Boyden chamber and wound healing assays as previously described (28). (C) The knockdown of miR-155 reduces cell migration and invasion. NMuMG cells were transfected with miR-155 ASO and control ASO. Following 36 h of incubation, cells were subjected to chamber cell migration and invasion assays using Boyden chambers with or without the inclusion of coating Matrigel. (D) Statistical analysis. The experiments depicted in panels A to C were repeated three times. *P* values for comparisons are indicated. Values are arbitrary units.

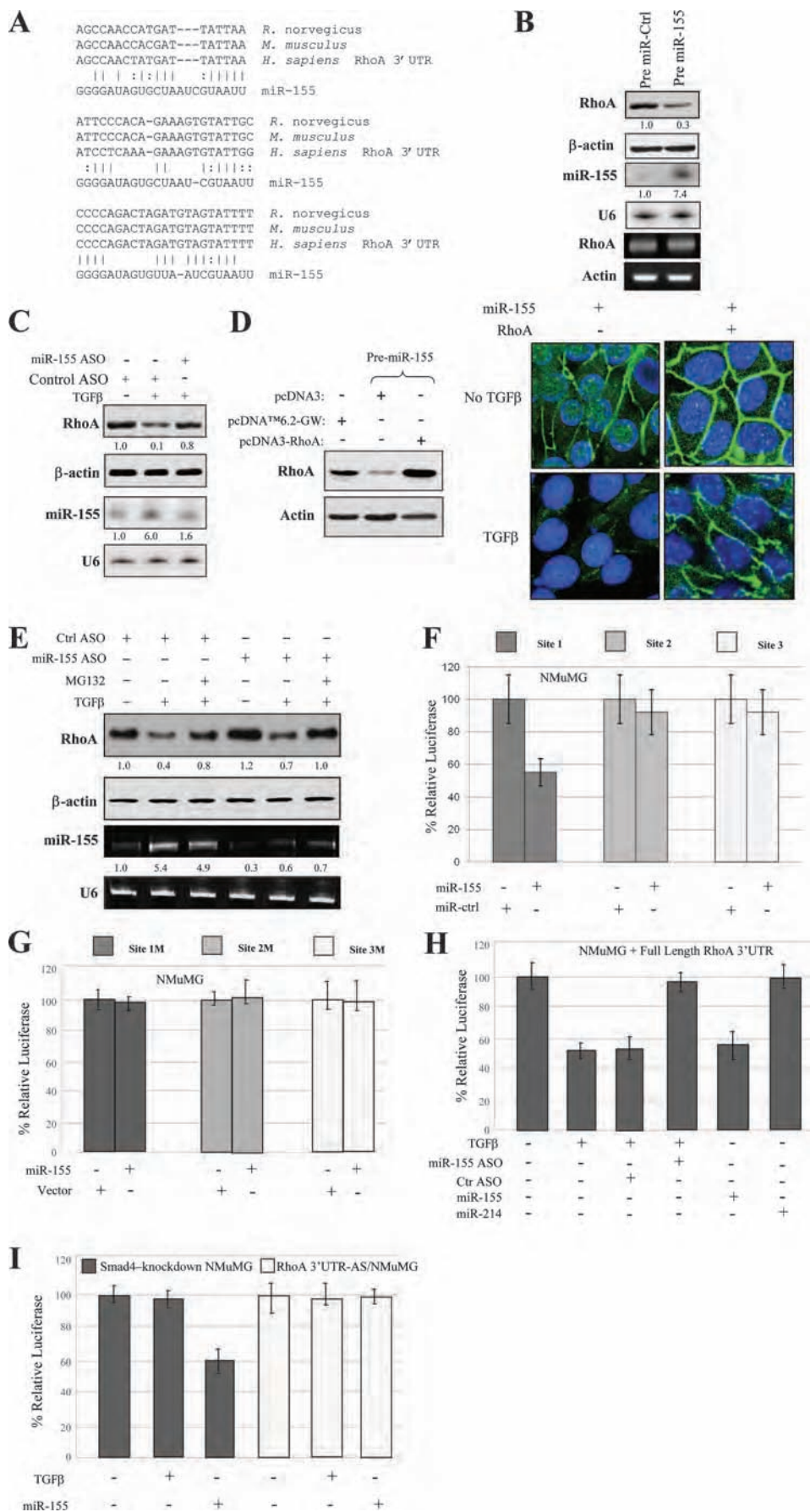
miR-155 largely abolished the inhibitory effects of TGF- $\beta$  on the RhoA protein level (Fig. 5C). Since miR-155 downregulates RhoA to drive EMT progression, it is reasoned that the ectopic expression of RhoA can reverse this phenomenon. Indeed, progression toward EMT was hindered when pcDNA3-RhoA, in which the RhoA gene lacked a 3' UTR, was introduced into stably miR-155-transfected NMuMG cells that were subsequently treated with TGF- $\beta$  for 24 h. As shown in Fig. 5D, the ectopic expression of RhoA decreased tight junction dissolution induced by miR-155. These results further indicate that RhoA is a target of miR-155 and mediates the contribution of miR-155 to the control of epithelial cell plasticity.

Previous studies have shown that TGF- $\beta$  induces RhoA protein degradation through the ubiquitin-proteasome pathway (34, 49). Thus, we further examined the significance of protein degradation and miR-155 in the regulation of RhoA by TGF- $\beta$ . NMuMG cells were transfected with control or miR-155 ASO and then treated with or without TGF- $\beta$  and proteasome inhibitor MG132. Figure 5E shows that neither MG132 nor the knockdown of miR-155 alone fully inhibited the TGF- $\beta$  downregulation of RhoA. However, the combined treatment with MG132 and miR-155 ASO abolished the TGF- $\beta$  repression of RhoA expression. These results indicate that both the ubiquitin-proteasome pathway and miR-155 mediate the TGF- $\beta$  regulation of RhoA.

To further demonstrate that RhoA is negatively regulated by

miR-155, we generated luciferase reporters that contained each of the three highly conserved seeding sites, along with corresponding mutant forms, and a construct that contained the full length of the RhoA gene 3' UTR. To determine if any of the three seeding sites respond to miR-155, the reporter plasmids were introduced into NMuMG cells together with the pcDNA6.2-GW/miR-155 or pcDNA6.2-GW/miR-control vector. Following 36 h of incubation, cells were subjected to a luciferase assay. Results from triplicate experiments showed that reporter activity for each site was reduced by the ectopic expression of miR-155, with site 1 exhibiting a more significant response than the two other sites. When these sites were mutated, the luciferase reporter was no longer inhibited by miR-155 (Fig. 5F and G). We then examined if the full-length RhoA gene 3' UTR is regulated by TGF- $\beta$  through miR-155. Results from triplicate experiments showed that the full-length reporter responded to TGF- $\beta$  and that this response was largely abolished when miR-155 ASO was introduced simultaneously into the cells (Fig. 5H). When the same experiments were carried out with the Smad4 knockdown NMuMG cell line, the full-length reporter did not respond to TGF- $\beta$  (Fig. 5I). Furthermore, the antisense full-length 3' UTR of the RhoA gene failed to respond to miR-155 and TGF- $\beta$  (Fig. 5I).

**miR-155 expression in invasive breast cancer.** Having observed that miR-155 mediates TGF- $\beta$ -induced EMT and cell migration and invasion, we asked if the expression of miR-155





is associated with cancer invasiveness in human primary breast carcinoma. A total of 62 breast cancer specimens (17 noninvasive and 45 invasive breast carcinomas) and 5 normal breast tissue samples were examined for the expression of miR-155. qRT-PCR (Fig. 6A) and miRNA locked nucleic acid in situ hybridization (LNA-ISH) (Fig. 6B) analyses revealed high levels of miR-155 in 41 of 45 invasive tumors but in only 2 of 17 noninvasive cancer tissues (Fig. 6C). The level of expression of miR-155 in normal breast tissue was very low (Fig. 6A and B). These data further support the findings demonstrating the involvement of miR-155 in EMT and invasion as observed in NMuMG cells and suggest that miR-155 may play a pivotal role in breast cancer metastasis.

## DISCUSSION

Many studies have demonstrated previously that the TGF- $\beta$  pathway plays a critical role in breast cancer metastasis (18, 31, 39, 50). Several TGF- $\beta$ /Smad-regulated genes have been shown to mediate TGF- $\beta$  signaling in the control of cellular processes associated with breast cancer metastasis (18, 31, 39, 50). In this study, we report an miRNA expression signature of TGF- $\beta$ -induced EMT in NMuMG epithelial cells. Twenty-eight miRNAs were found to be significantly deregulated by TGF- $\beta$  in parental but not Smad4 knockdown NMuMG cells. Further, we showed that miR-155, the most significantly up-regulated miRNA, plays an important role in TGF- $\beta$ -induced EMT and cell migration and invasion. In addition, RhoA was negatively regulated by miR-155 and the restoration of RhoA in miR-155-overexpressing cells decreased TGF- $\beta$ /miR-155-induced tight junction dissolution. Moreover, high expression levels of miR-155 correlate with invasive breast carcinomas. These findings are important for several reasons. First, they provide an miRNA expression signature of the TGF- $\beta$ /Smad pathway in mammary gland epithelial cells. Second, the findings of this study establish a direct link between TGF- $\beta$ /Smad4 and miR-155 during EMT. Finally, this is the first study to describe RhoA as a direct target of miR-155.

miR-155, a product of the BIC gene, is overexpressed in a number of human malignancies, which include B-cell lymphoma and carcinomas of the breast, colon, lung, and ovary (8, 17, 48, 51, 52). E $\mu$ -mmu-miR-155 transgenic mice develop B-cell malignancies (4), whereas miR-155 knockout mice exhibit impaired immune function (40, 45). The transcription factor Pu.1 has been validated previously as a direct target of the miR-155-mediated immunoresponse (47). Moreover, miR-155 represses tumor protein 53-induced nuclear protein 1 (TP53NP1), leading to pancreatic tumor development (10). In addition, the NF- $\kappa$ B and AP-1 transcription factors have been shown previously to regulate miR-155 expression (20, 33, 53). However, the functions of miR-155 in cell migration and invasion have not been investigated. Thus, our study provides the first evidence that miR-155 is upregulated by the TGF- $\beta$ /Smad4 pathway and mediates TGF- $\beta$ -induced EMT and cell invasion.

Previous computational and experimental studies have focused on the quality of sequence matching between miRNA and the target (6, 7, 14, 26, 42). miRNAs negatively regulate their target mRNAs through base-pairing interactions, which lead to either mRNA degradation or translational inhibition, depending on the degree of matching between the seed sequence (positions 2 to 7 on the 5' side) of miRNA and the 3' UTR of mRNA; e.g., miRNA induces mRNA degradation when the seed sequence perfectly matches the target 3' UTR or inhibits translation when the sequences are partially identical (6, 7, 14, 26, 42). In addition, recent reports indicate that mRNA secondary structures may contribute to target recognition due to the fact that there is an energy cost associated with the un-base pairing of the messenger required to make the target site accessible for miRNA binding (27, 30). Kertesz et al. showed that site accessibility is as important as base pairing within the seeding region. Effective miRNA function requires nucleic acids flanking the target site, as well as the target itself, to be unpaired in a thermodynamically stable fashion (19). Through the RNA22 algorithm, we found that the seed se-

FIG. 5. RhoA is a target of miR-155. (A) Sequence alignment of miR-155 with the 3' UTR of the RhoA gene. The seed sequence of miR-155 matches three regions of the RhoA gene 3' UTR, which are highly conserved among humans (*Homo sapiens*), mice (*Mus musculus*), and rats (*Rattus norvegicus*). Vertical lines and colons indicate Watson-Crick and wobble base pairing, respectively. (B) miR-155 reduces RhoA protein but not mRNA expression. NMuMG cells were transfected with pcDNA6.2-GW/miR-155 (Pre miR-155) and control vector (Pre miR-Ctrl). After selection with blasticidin, the expression level of RhoA was determined using Western blot analysis (first panel). The same blot was reprobed with antiactin antibody (second panel). The expression of miR-155 from the same set of cells was examined by Northern blot analysis (third panel). RT-PCR was performed to determine RhoA mRNA levels (fifth panel). U6 and actin were used for loading controls (fourth and sixth panels). The numbers between the gels represent the miR-155-to-U6 band density ratios. (C) The knockdown of miR-155 inhibits the TGF- $\beta$  downregulation of RhoA. NMuMG cells were transfected with miR-155 ASO or control ASO. After 36 h of incubation, cells were treated with (+) or without (–) TGF- $\beta$  for 24 h and immunoblotted with the indicated antibodies (first and second panels). A Northern blot was hybridized with the indicated probes (third and fourth panels). (D) The ectopic expression of RhoA cDNA lacking the 3' UTR overrides the effects of miR-155 on cell tight junction dissolution. Clonal cells stably expressing miR-155 were transfected with pcDNA3-RhoA or control vector. Following treatment with or without TGF- $\beta$ , cells were immunostained with anti-ZO-1 antibody. (E) The inhibition of the ubiquitin-proteasome pathway and miR-155 hinders TGF- $\beta$  downregulation of RhoA. NMuMG cells were transfected with control (ctrl) or miR-155 ASO. Following 48 h of incubation, cells were treated with or without MG132 and/or TGF- $\beta$  for 12 h and then subjected to immunoblotting (first and second panels) and qRT-PCR (third and fourth panels). (F and G) miR-155 inhibits RhoA gene 3' UTR luciferase activity. Cells were transfected with individual site constructs in pGL3 (F) and the corresponding mutant constructs (G), together with pCMV- $\beta$ -galactosidase, pcDNA6.2-GW/miR-155 (miR-155), or pcDNA6.2-GW/miR-control vector (miR-ctrl). Luciferase activities were normalized to  $\beta$ -galactosidase activity. (H and I) TGF- $\beta$  and miR-155 repress the full-length RhoA gene 3' UTR but not its antisense strand in parental NMuMG cells. Cells were transfected with the indicated plasmids and treated with or without TGF- $\beta$  for 24 h. Luciferase activities were normalized to  $\beta$ -galactosidase activity. The full-length RhoA gene 3' UTR responds to TGF- $\beta$  and miR-155 in parental (H) but not Smad4 knockdown (I) NMuMG cells. TGF- $\beta$ -inhibited reporter activity was inhibited by the knockdown of miR-155. miR-214 was used as a control. Experiments were done in triplicate for standard deviation calculations. RhoA 3' UTR-AS/NMuMG, NMuMG cells transfected with the antisense strand of the RhoA gene 3' UTR.

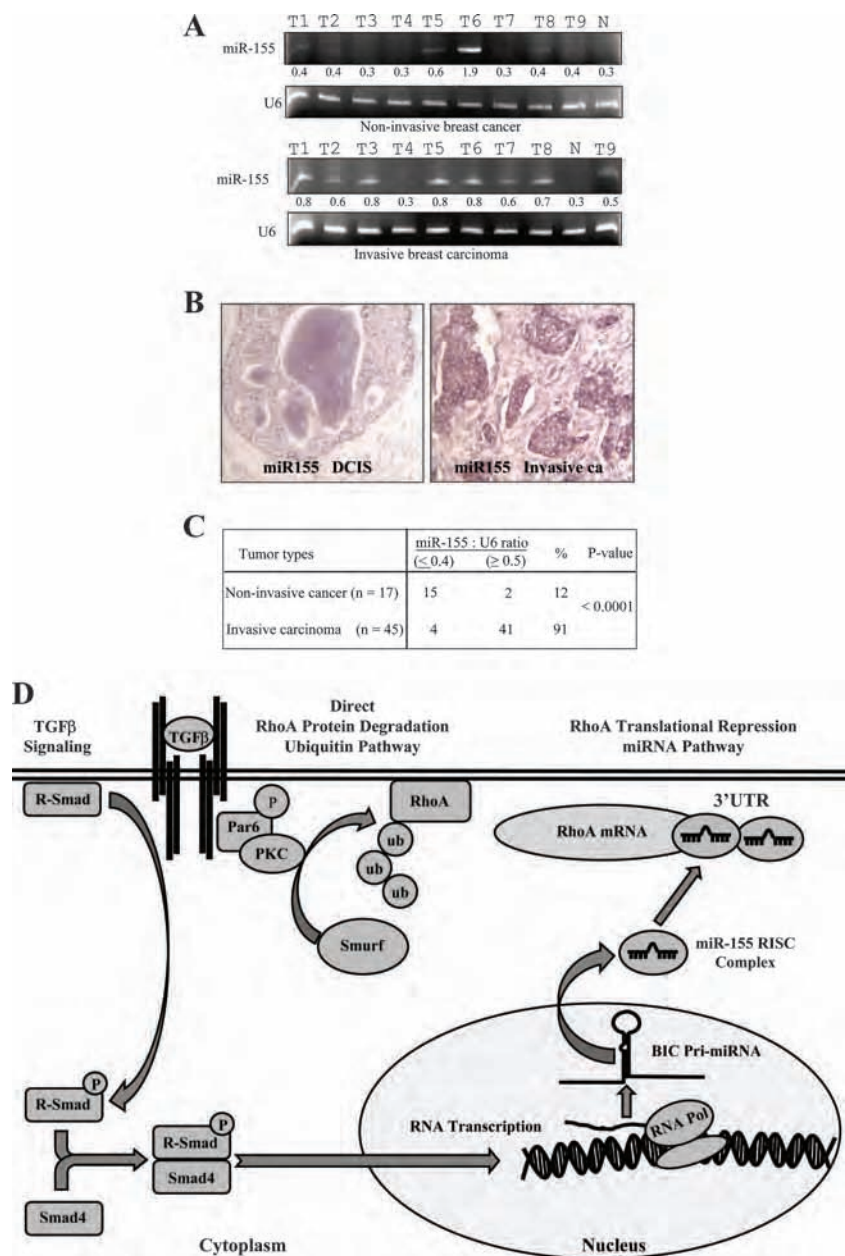


FIG. 6. Elevated levels of miR-155 are associated with invasive breast cancer. (A) qRT-PCR analysis of miR-155 expression in normal human breast tissue (lanes N), noninvasive breast cancer tissue, and invasive breast carcinoma. U6 was used as a control. The numbers between the gels represent the miR-155-to-U6 band density ratios. T1 to T9 indicate the tumor samples. (B) LNA-ISH analyses. miR-155 was labeled with digoxigenin-ddUTP by using the Dig 3'-end labeling kit (Roche) and hybridized to paraffin sections of breast cancer tissue. Representative photomicrographs of sections of ductal carcinoma in situ (DCIS) breast tissue (left) and invasive breast tumor tissue (right) are shown. (C) Summary of qRT-PCR and LNA-ISH analyses. miR-155 was detected more frequently in invasive breast carcinoma tissue than in noninvasive tumor tissue. (D) Schematic illustration of the transcriptional induction of miR-155 by the TGF- $\beta$ /Smad pathway and the TGF- $\beta$  downregulation of RhoA through the ubiquitin-proteasome and miR-155 cascades. PKC, protein kinase C; RISC, RNA-induced silencing complex; RNA Pol, RNA polymerase.

quence of miR-155 has the potential to bind in multiple regions within the 3' UTR of the RhoA gene. Of these sites, we selected three sites that fit the above-mentioned criteria and are highly conserved among species. Further, RhoA gene 3' UTR reporter assays showed that miR-155 at all three sites significantly diminished luciferase activity. To mimic endogenous conditions more closely, we cloned the full-length RhoA

gene 3' UTR and performed experiments using TGF- $\beta$  instead of the ectopic expression of miR-155. As expected, the full-length 3' UTR responded to TGF- $\beta$ , which was inhibited by the knockdown of miR-155 in the parental NMuMG line but not in the Smad4 knockdown line.

RhoA is the prototypical member of the Rho GTPase family, which regulates many cellular processes, including cellular

adhesion, motility, and polarity, and is an important modulator of cell junction formation and stability (34, 37, 46, 49). Previous studies showed that TGF- $\beta$  induces the disruption of tight junctions, cell polarity, and EMT through the ubiquitination and degradation of RhoA by Smurf1 E3 ligase that is activated by Par6 (34). Our study demonstrated that TGF- $\beta$  downregulated RhoA protein expression through the upregulation of miR-155 and thus provided an additional molecular mechanism of TGF- $\beta$  regulation of RhoA (Fig. 6D). Regulation by miRNAs provides a means for cells to prevent protein translation, a mechanism to quickly prevent the accumulation of proteins by translational inhibition; our findings go hand in hand with earlier findings that TGF- $\beta$  ubiquitinates RhoA for degradation. In this scenario, the induction of miR-155 halts the translation of RhoA while ubiquitination degrades translated RhoA proteins. Based on computational program predictions, each miRNA may negatively regulate hundreds of protein-encoding mRNAs (6, 7, 14, 26, 42). Two recent studies using gene expression microarray analyses showed that miR-155 and its viral orthologue Kaposi's sarcoma-associated herpesvirus miR-K12-11 negatively regulate more than 180 mRNAs, some of which encode proteins involved in cell migration and invasion, including GSK3, PCSK5, and Rho GTPase-activating protein 21 (11, 41). Thus, RhoA is a major but not the only target that mediates miR-155 function in the control of cell polarity, EMT, and cell invasion contributing to cancer metastasis.

In addition, a previous study described a profile of miRNA expression in human keratinocytes treated with TGF- $\beta$ . Four miRNAs were upregulated and another four were downregulated by TGF- $\beta$  (55). Of the eight deregulated miRNAs, only one miRNA (e.g., miR-21) showed a change consistent with our results. This discrepancy may be due to the use of different cell types and the duration of TGF- $\beta$  treatment. Recent reports have indicated the importance of downregulation for miR-200 family miRNAs during TGF- $\beta$ -induced EMT (2, 12, 22, 36). In congruence to previous findings, our array also showed the downregulation of miR-200c and miR-205 during a mesenchymal transition (Fig. 1C). However, the remaining members of the miR-200 family were not detected in our array. This result may be due to the use of different cell lines for the miRNA array analyses in our study and those described in previous reports (2, 12, 36). In addition, we showed frequent upregulation of miR-155 in primary invasive breast cancer tissues. Consistent with this finding, a previous study reported that miR-155 is elevated in a metastatic breast cancer cell line, MDA-MB-231, but not in a nonmetastatic line, MCF-7 (28). We also observed that the knockdown of miR-155 inhibited the TGF- $\beta$  downregulation of E-cadherin but that the ectopic expression of miR-155 enhanced the TGF- $\beta$  effects on E-cadherin expression (Fig. 3D and G). Sequence analysis showed no match between the seed sequence of miR-155 and the 3' UTRs of the E-cadherin, ZEB1, and SIP1 genes (12). Further investigation is required to determine the mechanism of miR-155 downregulation of E-cadherin, although it is likely that the effects are indirect but serve as a useful EMT indicator.

In summary, we demonstrated the miRNA expression signature of TGF- $\beta$ /Smad-induced EMT in mammary epithelial cells. All 28 deregulated miRNAs contained at least one Smad4-binding site within their putative promoters. miR-155

mediated TGF- $\beta$ /Smad pathway-induced EMT and cell migration and invasion through the targeting of RhoA. Further, the expression of miR-155 was associated with the invasive phenotype of breast cancer. Thus, miR-155 may be a potential metastatic/prognostic marker and therapeutic target for breast cancer metastasis intervention.

#### ACKNOWLEDGMENTS

We thank Peter ten Dijke for the Smad4 knockdown NMuMG cell lines. We also thank the tissue procurement and DNA sequencing facilities at H. Lee Moffitt Cancer Center for providing cancer specimens and sequencing.

This work was supported by grants from the National Institutes of Health (CA77935 and CA107078) and the Department of Defense (DAMD17-02-1-0671) and Bankhead-Coley grant 07BB-01.

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